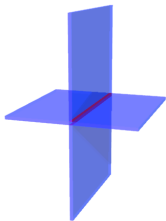


# Yet Another Alternative to Compactification

— *Heterotic five-branes explain why **Three Generations** in Nature*

[arXiv: 0905.2185 \[hep-th\]](https://arxiv.org/abs/0905.2185)



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A typical example: **Calabi-Yau** compactification in  $E_8 \times E_8$  heterotic string theory

**Standard Embedding:**  $\omega_m^{ab} \equiv A_m^{ab} \in SU(3) \rightsquigarrow E_8 \supset E_6 \times SU(3)$

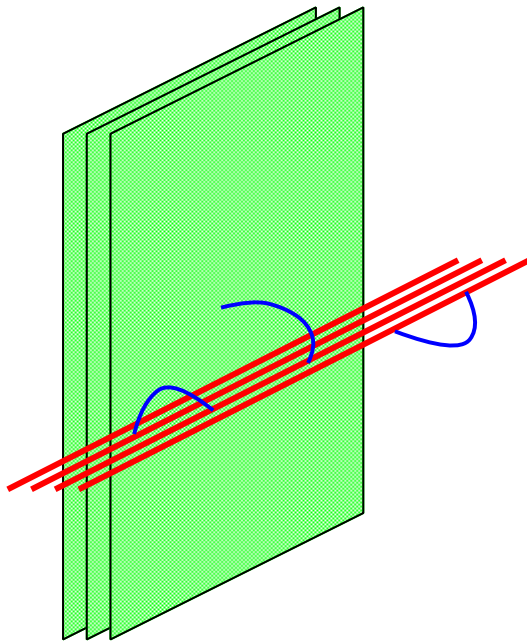
$$\# \text{ of generations} = \frac{1}{2} |\chi(\text{CY})| = |h^{1,1} - h^{2,1}|$$

$h^{1,1}$  = # of Kähler moduli = # of  $(\overline{\mathbf{27}}, \overline{\mathbf{3}})$  repr. (size of CY)

$h^{2,1}$  = # of complex structure moduli = # of  $(\mathbf{27}, \mathbf{3})$  repr. (shape of CY)

M.B. Green, J.H. Schwarz and E. Witten: *Chapter 16.2*

Another example: **D-brane** scenario in higher-dimensional theories



ex.)

$N_f$  D7-branes (green planes)

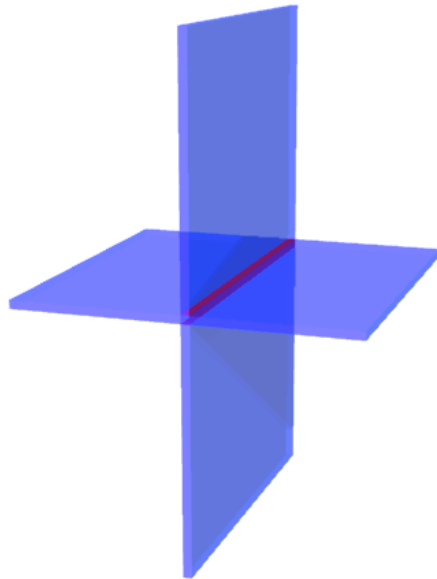
$N_c$  D3-branes (red lines)

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$SU(N_c)$  gauge theory with  $N_f$  flavors

Yet Another Alternative: Intersecting 5-branes in heterotic string theory

– Our Model –



	0	1	2	3	4	5	6	7	8	9
5-brane	○	○	○	○	○	○				
5-brane'	○	○	○	○			○	○		
our world	○	○	○	○						

“Good Points”

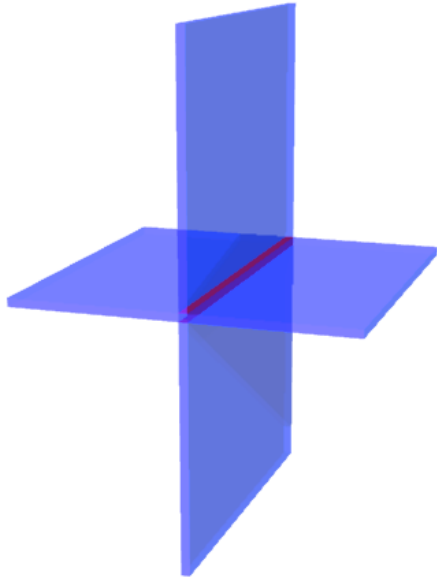
Simple!

$E_6$  gauge symmetry appears

Naturally obtain 3  $E_6$ -charged multiplets in four dimensions as Nambu-Goldstone modes

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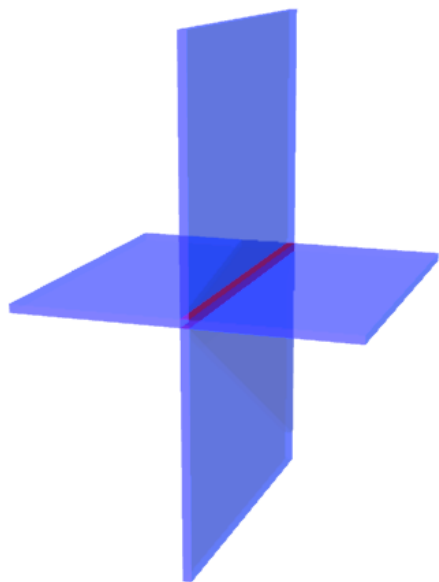
	0	1	2	3	4	5	6	7	8	9
5-brane	○	○	○	○	○	○				
5-brane'	○	○	○	○			○	○		
our world	○	○	○	○						

The string frame metric and the solution are given as

$$ds^2 = \sum_{\mu, \nu=0}^3 \eta_{\mu\nu} dx^\mu dx^\nu + h \sum_{m=4}^7 (dx^m)^2 + h^2 \sum_{m=8}^9 (dx^m)^2$$

$$h = 1 + N|x^8|, \quad h^2 = e^{2\phi}, \quad H_{459} = H_{679} = \frac{N}{2}|x^8|'$$

K. Ohta and T. Yokono, *JHEP 02 (2000) 023* + our new idea



4 SUSY preserved (12 broken)

$$\omega_{\pm m}{}^{ab} := \omega_m{}^{ab} \pm H_m{}^{ab}$$

$\omega_{+m}$ : an  $SU(3)$  holonomy connection in 6 directions

$\omega_{-m}$ : another  $SU(3)$  holonomy connection in 6 directions

We can embed  $\omega_{+m}$  into  $A_m \rightsquigarrow SU(3)_{\text{spin}} \equiv SU(3)_{\text{gauge}} =: SU(3)_{\text{frozen}}$



$E_8$  gauge symmetry is broken to  $E_6 \times SU(3)_{\text{frozen}}$

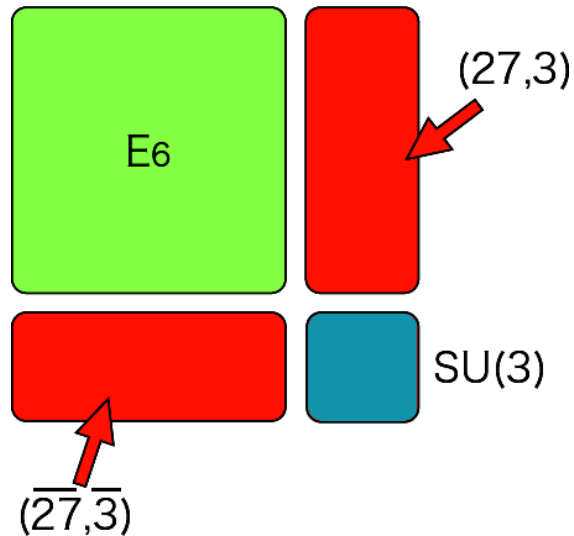


4-dim'l  $\mathcal{N} = 1 E_6$  on the intersecting spacetime

3  $E_6$ -charged complex matter multiplets =  $SU(3)$ -Nambu-Goldstone modes

# Counting $E_6$ -charged chiral multiplets in 4-dim'l theory

$$E_8 \supset E_6 \times SU(3) \quad 248 = (\mathbf{78}, \mathbf{1}) \oplus \underbrace{(\mathbf{1}, \mathbf{8}) \oplus (\mathbf{27}, \mathbf{3}) \oplus (\overline{\mathbf{27}}, \overline{\mathbf{3}})}_{SU(3)\text{-Nambu-Goldstone}}$$



Focus only on  $SU(3)$ -Nambu-Goldstone

$E_6$  fundamental:  $(27 \times 3) + (\overline{27} \times \overline{3})$

$(\mathbf{27}, \mathbf{3})$  and  $(\overline{\mathbf{27}}, \overline{\mathbf{3}})$  are complex conjugate

$\{(27 \times 3) + (\overline{27} \times \overline{3})\} / 2 = 27 \times 3$   $SU(3)$ -Nambu-Goldstone complex chiral bosons

= **3 copies** of complex chiral bosons of  $E_6$  fundamental repr.

cf) C.W. Bernard, N.H. Christ, A.H. Guth and E.J. Weinberg, *Phys. Rev. D*16 (1977) 2967



The  $SU(3)$ -Nambu-Goldstone bosons and fermions are combined into  
3 copies of complex chiral multiplets of  $E_6$  fundamental repr.!

3 copies = 3 generations!

Remark

This intersecting five-brane configuration is T-dual to deformed conifold  
(non-compact CY with  $h^{2,1} = 1$ ,  $h^{1,1} = 0$ )



# of generations is just one!? (from “ordinary” viewpoint)

## Different counting of generations from CY compactification

- Dirac index is sensitive to # of set of  $(\mathbf{27}, \mathbf{3})$ ,  
but **insensitive** to the way how these  $\mathbf{27}$  are embedded into the original  $E_8$  repr.

↪ possibility of multiplication **three** by the Dirac index!

Then, the factor **three** differs from the counting in the CY compactification

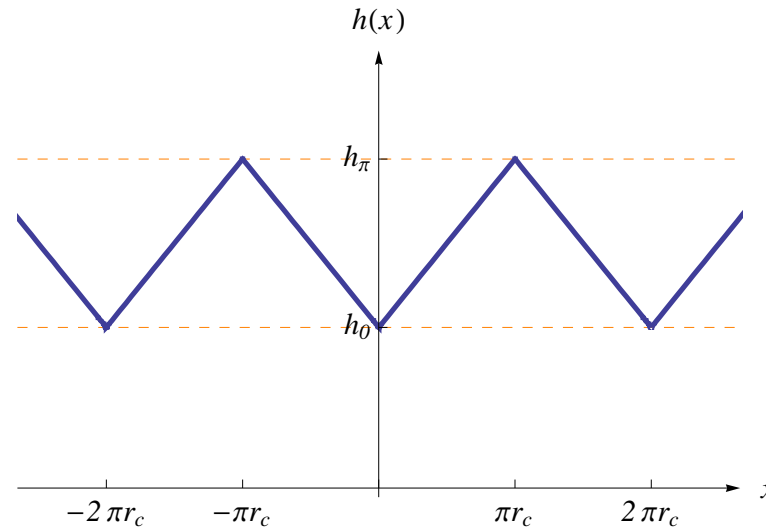
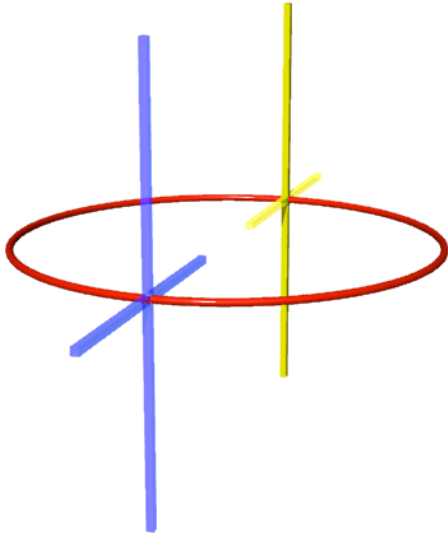
deformed conifold (# is **one**)  $\leftrightarrow$  intersecting five-brane (# is **three**)

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## Yet Another Alternative to Compactification (cf. Randall-Sundrum 1 model)

Compactify all extra directions  $(x^4, \dots, x^9)$  to “six-torus”  $(T^5 \times (S^1/\mathbb{Z}_2))$



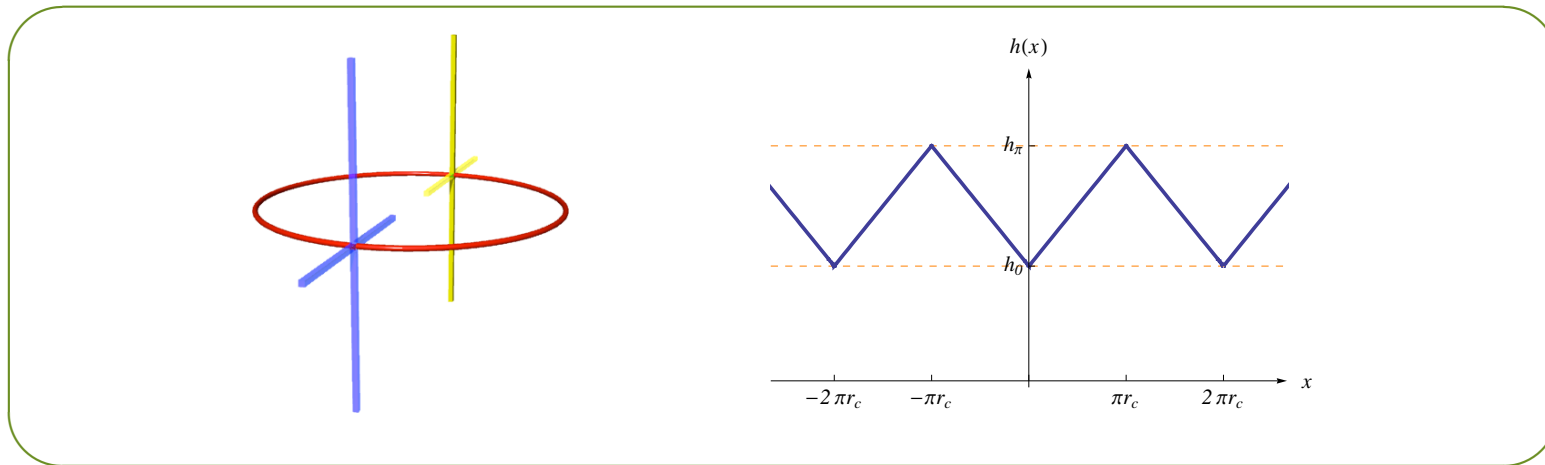
### Notice:

In order to introduce objects which absorb/emit NS charges,

we have to put **another** intersecting 5-branes with **negative** tension in the  $x^8$  direction

→ Then, we modify the function  $h$  to

$$h(x^8) = h_0 + N|x^8 - 2\pi k r_c|, \quad k \in \mathbb{Z}$$



⑥ Cosmological constant vanishes

⑥ Supersymmetry is broken completely!

⇒ We obtain 4-dim'l **non-SUSY** model with  $E_6$  gauge symmetry and 3 generations!  
(under the vanishing limit of  $h_0$ )

## Summary

- Studied **intersecting** 5-branes in heterotic theory
- Obtained a simple model to yield **3 generations** in four dimensions
- Applied it to considering a **non-SUSY** model via torus compactification