

Basic Formalism of Relativistic Nuclear Many-body Theory

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1 Convention and basic formulas

See TABLE 1 for basic conventions.

TABLE 1: Conventional rules in this note.

Name	Quantity	Definition
flat metric	$g^{\mu\nu} = g_{\mu\nu}$	$= \text{diag}(+, -, -, -)$
4D coordinate	$x^\mu = (x^0, x^1, x^2, x^3)$	$= (ct, x, y, z)$
	$x_\mu = (x_0, x_1, x_2, x_3)$	$= (ct, -x, -y, -z)$
4D derivative	$\partial^\mu = \frac{\partial}{\partial x_\mu}$	$= \left(\frac{\partial}{c\partial t}, -\vec{\nabla} \right)$
	$\partial_\mu = \frac{\partial}{\partial x^\mu} = g_{\mu\nu}\partial^\nu$	$= \left(\frac{\partial}{c\partial t}, \vec{\nabla} \right)$
4D momentum	$p^\mu = (p^0, p^1, p^2, p^3) = i\hbar\partial^\mu$	$= \left(\frac{E}{c}, \vec{p} \right)$
	$p_\mu = g_{\mu\nu}p^\nu$	$= \left(\frac{E}{c}, -\vec{p} \right)$
gamma matrices	$\gamma^\mu = (\gamma^0, \vec{\gamma})$	$= (\beta, \beta\vec{\gamma})$
reduced derivative	$\gamma^\mu\partial_\mu = \gamma_\mu\partial^\mu$	$= \gamma^0\partial_{ct} + \vec{\gamma} \cdot \vec{\nabla}$

2 Units

We assume the (1 + 3)-dimensional time and space. In the MKSA or CGS-Gauss system of units, except the electro-magnetic terms, the Dirac equation is given as

$$i\hbar\frac{\partial}{\partial t}\psi(t, \mathbf{r}) = \left[-i\hbar c\beta\vec{\gamma} \cdot \vec{\nabla} + \beta Mc^2 + W \right] \psi(t, \mathbf{r}), \quad (1)$$

where W is some external potential in the unit of energy (e.g., MeV). From $\beta\beta = I$ and $\gamma^\mu\partial_\mu = \beta\partial_{ct} + \vec{\gamma} \cdot \vec{\nabla}$, it is also expressed as

$$\left[i\hbar c\gamma^\mu\partial_\mu - Mc^2 - \beta W \right] \psi(t, \mathbf{r}) = 0. \quad (2)$$

The Lagrangian density, which works as the source of this equation, reads

$$\mathcal{L} = \bar{\psi} \left[i\hbar c\gamma^\mu\partial_\mu - Mc^2 - \beta W \right] \psi(x), \quad (3)$$

where $\bar{\psi} \equiv \psi^\dagger\beta$. Note that, because the Lagrangian $L \equiv \int d^3\mathbf{r}\mathcal{L}$ and Mc^2 have the dimension of energy, $\bar{\psi}\psi$ is in the unit of fm^{-3} . As coincidence, if some interaction term(s) has the form,

$$\mathcal{L}_I = \bar{\psi}X\psi(x), \quad (4)$$

then this wild-card part X must have the dimension of energy, e.g. in MeV. This knowledge may help us, for example, to infer the unit of the coupling constant.

For dimensional analysis, the action follows $[S]_D = \left[\int dt \int d^3\mathbf{r} \mathcal{L} \right]_D = ET$, since Lagrangian (as well as Hamiltonian) keeps the dimension of energy, $[d^3\mathbf{r} \mathcal{L}]_D = E = ML^2T^{-2}$. Thus, Lagrangian density has $[\mathcal{L}]_D = EL^{-3}$. Note that, in the MKSA or CGS-Gauss system of units, the dimensional analysis concludes that,

$$[c^2 \cdot \text{mass}]_D = [\text{energy}]_D = \left[\frac{\hbar c}{\text{length}} \right]_D = \left[\frac{\hbar}{\text{time}} \right]_D = E. \quad (5)$$

2.1 Plank's natural system of units

In the Plank's natural system of units, we assume that $\hbar \equiv 1$ and $c \equiv 1$. With this assumption, dimensions of mass, energy, length, and time can be related as

$$[\text{mass}]_D = [\text{energy}]_D = \left[\frac{1}{\text{length}} \right]_D = \left[\frac{1}{\text{time}} \right]_D = M^{+1}. \quad (6)$$

TABLE 2: Dimensional numbers of some quantities, $[\text{Quantity}]_D$.

Quantity	In MKSA or CGS-Gauss	In Plank's natural
mass	M	M^{+1}
time and length	T and L	M^{-1}
energy	$E = ML^2T^{-2}$	M^{+1}
\mathcal{L} or \mathcal{H}	EL^{-3}	M^{+4}
$\bar{\psi}\psi(x)$	L^{-3}	M^{+3}
$\phi^2(x)$ (scalar boson)	$E^{-1}L^{-3}$	M^{+2}
$A^\mu A_\mu(x)$ (vector boson)	$E^{-1}L^{-3}$	M^{+2}

3 Lagrangian

In the relativistic nuclear theory (RNT), nucleon is described by a Dirac spinor $\psi(x)$, where $x = \{\mathbf{r}, \mathbf{s}, \vec{\tau}\}$. The phenomenological Lagrangian density reads

$$\mathcal{L} = \bar{\psi}(x)[i\gamma_\mu \partial^\mu - M]\psi(x) + \mathcal{L}_M + \mathcal{L}_I. \quad (7)$$

Here \mathcal{L}_M is the kinetic and self-interaction part of mesons in the model. The interaction part, \mathcal{L}_I , on the other hand, includes all the possible terms of interactions. See TABLES 3 and 4 for details.

For meson terms \mathcal{L}_M ,

$$\begin{aligned} \mathcal{L}_M = & \frac{1}{2} [\partial_\mu \sigma \partial^\mu \sigma - m_\sigma^2 \sigma^2] + U(\sigma) - \frac{1}{2} [\Omega_{\mu\nu} \Omega^{\mu\nu} - m_\omega^2 \omega_\mu \omega^\mu] - \frac{1}{2} [\vec{\Upsilon}_{\mu\nu} \vec{\Upsilon}^{\mu\nu} - m_\rho^2 \vec{\rho}_\mu \vec{\rho}^\mu] \\ & + \frac{1}{2} [\partial_\mu \vec{\pi} \partial^\mu \vec{\pi} - m_\sigma^2 \vec{\pi} \vec{\pi}] - \frac{1}{2} F_{\mu\nu} F^{\mu\nu}. \end{aligned} \quad (8)$$

TABLE 3: Kinetic and self-interaction terms included in \mathcal{L}_M . Label (i) indicates isoscalar (IS) or isovector (IV). Label (ii) indicates scalar (S), vector (V), pseudo-scalar (PS) or pseudo-vector (PV).

(i)	(ii)	(T, J^π)	Meson	
IS	S	$(0, 0^+)$	σ	$+\frac{1}{2} [\partial_\mu \sigma \partial^\mu \sigma - m_\sigma^2 \sigma^2] + U(\sigma)$
	V	$(0, 1^-)$	ω^μ	$-\frac{1}{2} [\Omega_{\mu\nu} \Omega^{\mu\nu} - m_\omega^2 \omega_\mu \omega^\mu]$ with $\Omega_{\mu\nu} = \partial_\mu \omega_\nu - \partial_\nu \omega_\mu$
	PS	$(0, 0^-)$	\times	
	PV	$(0, 1^+)$	\times	
IV	S	$(1, 0^+)$	\times	
	V	$(1, 1^-)$	$\vec{\rho}^\mu$	$-\frac{1}{2} [\vec{\Upsilon}_{\mu\nu} \vec{\Upsilon}^{\mu\nu} - m_\rho^2 \vec{\rho}_\mu \vec{\rho}^\mu]$ with $\vec{\Upsilon}_{\mu\nu} = \partial_\mu \vec{\rho}_\nu - \partial_\nu \vec{\rho}_\mu$
	PS	$(1, 0^-)$	$\vec{\pi}$	$+\frac{1}{2} [\partial_\mu \vec{\pi} \partial^\mu \vec{\pi} - m_\sigma^2 \vec{\pi} \vec{\pi}]$
	PV	$(1, 1^+)$	\times	
Coulomb				$-\frac{1}{2} F_{\mu\nu} F^{\mu\nu}$ with $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$

In the meson-exchange model,

$$\begin{aligned} \mathcal{L}_I = & -g_\sigma \bar{\psi} \sigma \psi - g_\omega [\bar{\psi} \gamma_\mu \omega^\mu \psi] - g_\rho [\bar{\psi} \gamma_\mu (\vec{\tau} \vec{\rho}^\mu) \psi] - i g_\pi [\bar{\psi} \gamma_5 (\vec{\tau} \vec{\pi}) \psi] - \frac{f_\pi}{m_\pi} [\bar{\psi} \gamma_5 \gamma_\mu \partial^\mu (\vec{\tau} \vec{\pi}) \psi] \\ & - e \bar{\psi} \gamma_\mu A^\mu \left(\frac{1 - \hat{\tau}_3}{2} \right) \psi(x). \end{aligned} \quad (9)$$

In the point-coupling model,

$$\begin{aligned} \mathcal{L}_I = & -\frac{\alpha_{\text{IS-S}}(\rho)}{2} [\bar{\psi} \psi] [\bar{\psi} \psi] - \frac{\alpha_{\text{IS-V}}(\rho)}{2} [\bar{\psi} \gamma_\mu \psi] [\bar{\psi} \gamma^\mu \psi] - \frac{\alpha_{\text{IV-V}}(\rho)}{2} [\bar{\psi} \gamma_\mu \vec{\tau} \psi] [\bar{\psi} \gamma^\mu \vec{\tau} \psi] \\ & - \frac{\alpha_{\text{IV-PS}}(\rho)}{2} [\bar{\psi} \gamma_5 \vec{\tau} \psi] [\bar{\psi} \gamma_5 \vec{\tau} \psi] - \frac{\alpha_{\text{IV-PV}}(\rho)}{2} [\bar{\psi} \gamma_5 \gamma_\mu \vec{\tau} \psi] [\bar{\psi} \gamma_5 \gamma^\mu \vec{\tau} \psi] \\ & - e \bar{\psi} \gamma_\mu A^\mu \left(\frac{1 - \hat{\tau}_3}{2} \right) \psi(x). \end{aligned} \quad (10)$$

4 Equation of Motion

The equation of motion (EOM) reads

$$\partial_\mu \left(\frac{\partial \mathcal{L}}{\partial (\partial_\mu q_i)} \right) - \frac{\partial \mathcal{L}}{\partial q_i} = 0. \quad (11)$$

Here $q_i(x)$ is generally utilized for $\psi(x)$, $A_\mu(x)$, or meson fields.

TABLE 4: Interaction terms included in \mathcal{L}_1 . Label (i) indicates isoscalar (IS) or isovector (IV). Label (ii) indicates scalar (S), vector (V), pseudo-scalar (PS) or pseudo-vector (PV).

(i)	(ii)	(T, J^π)	Meson	Meson-exchange	Point-coupling
IS	S	$(0, 0^+)$	σ	$-g_\sigma \bar{\psi} \psi$	$-\alpha_{\text{IS-S}}(\rho) [\bar{\psi} \psi] [\bar{\psi} \psi] / 2$ $-\delta_{\text{IS-S}}(\rho) \partial_\mu [\bar{\psi} \psi] \partial^\mu [\bar{\psi} \psi] / 2$
	V	$(0, 1^-)$	ω^μ	$-g_\omega [\bar{\psi} \gamma_\mu \omega^\mu \psi]$	$-\alpha_{\text{IS-V}}(\rho) [\bar{\psi} \gamma_\mu \psi] [\bar{\psi} \gamma^\mu \psi] / 2$
	PS	$(0, 0^-)$	\times	\times	\times
	PV	$(0, 1^+)$	\times	\times	\times
IV	S	$(1, 0^+)$	\times	\times	\times
	V	$(1, 1^-)$	$\vec{\rho}^\mu$	$-g_\rho [\bar{\psi} \gamma_\mu (\vec{\tau} \vec{\rho}^\mu) \psi]$	$-\alpha_{\text{IV-V}}(\rho) [\bar{\psi} \gamma_\mu \vec{\tau} \psi] [\bar{\psi} \gamma^\mu \vec{\tau} \psi] / 2$
	PS	$(1, 0^-)$	$\vec{\pi}$	$-i g_\pi [\bar{\psi} \gamma_5 (\vec{\tau} \vec{\pi}) \psi]$	$-\alpha_{\text{IV-PS}}(\rho) [\bar{\psi} \gamma_5 \vec{\tau} \psi] [\bar{\psi} \gamma_5 \vec{\tau} \psi] / 2$
	PV	$(1, 1^+)$	$\partial_\mu \vec{\pi}$	$-\frac{f_\pi}{m_\pi} [\bar{\psi} \gamma_5 \gamma_\mu \partial^\mu (\vec{\tau} \vec{\pi}) \psi]$	$-\alpha_{\text{IV-PV}}(\rho) [\bar{\psi} \gamma_5 \gamma_\mu \vec{\tau} \psi] [\bar{\psi} \gamma_5 \gamma^\mu \vec{\tau} \psi] / 2$
Coulomb					$-e \bar{\psi} \gamma_\mu A^\mu \left(\frac{1-\hat{\tau}_3}{2}\right) \psi$

4.1 IV-PS (pion-nucleon) coupling

Focusing on the isovector-pseudoscalar (IV-PS) coupling, the pion-exchange model is defined with the following interaction:

$$\begin{aligned} \mathcal{L}_{\text{ME}} = & \bar{\psi} [i\gamma_\mu \partial^\mu - M] \psi(x) \\ & - i g_{\text{IV-PS}} [\bar{\psi} \gamma_5 (\vec{\tau} \vec{\pi}) \psi(x)] + \frac{1}{2} [\partial_\mu \vec{\pi} \partial^\mu \vec{\pi} - m^2 \vec{\pi} \vec{\pi}]. \end{aligned} \quad (12)$$

On the other side, based on the point-coupling (zero-range) model, it is defined as

$$\begin{aligned} \mathcal{L}_{\text{PC}} = & \bar{\psi} [i\gamma_\mu \partial^\mu - M] \psi(x) \\ & - \frac{\alpha_{\text{IV-PS}}}{2} (\bar{\psi} \gamma_5 \vec{\tau} \psi) (\bar{\psi} \gamma_5 \vec{\tau} \psi) + \left(\frac{1}{2} [\partial_\mu \vec{\pi} \partial^\mu \vec{\pi} - m^2 \vec{\pi} \vec{\pi}] \right)_{\text{neglectable}}. \end{aligned} \quad (13)$$

Thus, roughly speaking, these two models can be related as

$$-i g_{\text{IV-PS}} \vec{\pi}(x) \longleftrightarrow -\frac{\alpha_{\text{IV-PS}}}{2} \gamma_5 \vec{\tau} \bar{\psi} \psi(x). \quad (14)$$

In the following, we explain the background of this analogy. The factor 1/2 is indeed not correct, but the derivative of the square term works instead.

First we note the equation of motion for $\psi(x)$ of the pion-exchange model. That is,

$$\begin{aligned} \partial_\mu \frac{\delta \mathcal{L}_{\text{ME}}}{\delta (\partial_\mu \psi^\dagger)} - \frac{\delta \mathcal{L}_{\text{ME}}}{\delta \psi^\dagger} &= 0, \\ 0 - \gamma_0 [i\gamma_\mu \partial^\mu - M] \psi(x) + i g_{\text{IV-PS}} \gamma_0 \gamma_5 (\vec{\tau} \vec{\pi}) \psi(x) &= 0, \\ [i\gamma_\mu \partial^\mu - M] \psi(x) = i g_{\text{IV-PS}} \vec{\pi} \vec{\tau} \gamma_5 \psi(x). \end{aligned} \quad (15)$$

Second, from the equation of motion for $\psi(x)$ of the pion-exchange model,

$$\begin{aligned} \partial_\mu \frac{\delta \mathcal{L}_{\text{ME}}}{\delta(\partial_\mu \pi_a)} - \frac{\delta \mathcal{L}_{\text{ME}}}{\delta \pi_a} &= 0, \\ \partial_\mu \partial^\mu \pi_a - [-m^2 \pi_a(x) - i g_{\text{IV-PS}} \gamma_5 \tau_a \bar{\psi} \psi(x)] &= 0, \\ [\partial_\mu \partial^\mu + m^2] \pi_a(x) &= -i g_{\text{IV-PS}} \gamma_5 \tau_a \bar{\psi} \psi(x). \end{aligned} \quad (16)$$

Then, we suppose the heavy-pion limit. In this case, we can naively approximate as

$$\pi_a(x) \simeq \frac{-i g_{\text{IV-PS}}}{m^2} \gamma_5 \tau_a \bar{\psi} \psi(x). \quad (17)$$

By substituting this into the EOM of $\psi(x)$, we find that

$$[i \gamma_\mu \partial^\mu - M] \psi(x) \simeq -\alpha_{\text{IV-PS}} (\gamma_5 \tau_a \bar{\psi} \psi) \gamma_5 \tau_a \psi(x), \quad (18)$$

where $-\alpha_{\text{IV-PS}} = (-i g_{\text{IV-PS}})^2 / m^2$. This is indeed the EOM but obtained from the other, point-coupling Lagrangian. Notice also that, for the correspondence of two models, $\alpha_{\text{IV-PS}} > 0$. Its unit must be in, e.g., $\text{MeV} \cdot \text{fm}^3$, since \mathcal{L}_{PC} and $\bar{\psi} \psi(x)$ have the units of $\text{MeV} \cdot \text{fm}^{-3}$ and fm^{-3} , respectively.

4.2 IV-PV coupling

Focusing on the IV-PV coupling, the pion-exchange model reads

$$\begin{aligned} \mathcal{L}_{\text{ME}} &= \bar{\psi} [i \gamma_\mu \partial^\mu - M] \psi(x) \\ &\quad - g_{\text{IV-PV}} (\bar{\psi} \gamma_\mu \gamma_5 \psi) \vec{\tau} \cdot \partial^\mu \vec{\pi} + \frac{1}{2} [\partial_\mu \vec{\pi} \cdot \partial^\mu \vec{\pi} - m^2 \vec{\pi} \cdot \vec{\pi}]. \end{aligned} \quad (19)$$

On the other side, based on the point-coupling model, it is usually given as

$$\begin{aligned} \mathcal{L}_{\text{PC}} &= \bar{\psi} [i \gamma_\mu \partial^\mu - M] \psi(x) \\ &\quad - \frac{\alpha_{\text{IV-PV}}}{2} (\bar{\psi} \gamma_\mu \gamma_5 \psi) \vec{\tau} \cdot (\bar{\psi} \gamma^\mu \gamma_5 \psi) \vec{\tau} + \left(\frac{1}{2} [\partial_\mu \vec{\pi} \partial^\mu \vec{\pi} - m^2 \vec{\pi} \cdot \vec{\pi}] \right)_{\text{neglectable}}. \end{aligned} \quad (20)$$

Thus, roughly speaking, these two models can be related as

$$-g_{\text{IV-PV}} \partial_\mu \vec{\pi}(x) \longleftrightarrow -\frac{\alpha_{\text{IV-PV}}}{2} (\bar{\psi} \gamma_\mu \gamma_5 \psi) \vec{\tau}. \quad (21)$$

In the following, we explain the background of this analogy.

- The equation of motion for $\psi(x)$ from \mathcal{L}_{ME} :

$$\begin{aligned} \partial_\mu \frac{\delta \mathcal{L}_{\text{ME}}}{\delta(\partial_\mu \psi^\dagger)} - \frac{\delta \mathcal{L}_{\text{ME}}}{\delta \psi^\dagger} &= 0, \\ [i \gamma_\mu \partial^\mu - M] \psi(x) &= -g \partial_\mu \vec{\pi} \cdot \vec{\tau} \gamma^\mu \gamma_5 \psi(x). \end{aligned} \quad (22)$$

- The equation of motion for $\pi_a(x)$ from \mathcal{L}_{ME} :

$$\begin{aligned} \partial_\mu \frac{\delta \mathcal{L}_{\text{ME}}}{\delta(\partial_\mu \pi_a)} - \frac{\delta \mathcal{L}_{\text{ME}}}{\delta \pi_a} &= 0, \\ \partial_\mu [\partial^\mu \pi_a - g \tau_a \cdot \bar{\psi} \gamma^\mu \gamma_5 \psi(x)] + m^2 \pi_a(x) &= 0, \\ [\partial_\mu \partial^\mu + m^2] \pi_a(x) &= g \tau_a \partial_\mu (\bar{\psi} \gamma^\mu \gamma_5 \psi). \end{aligned} \quad (23)$$

By using the free-meson Green function (propagator),

$$\Delta_\pi(x-y) = \int \frac{d^4p}{16\pi^4} \frac{e^{-ip(x-y)}}{p^2 - m^2} \implies [\partial_\mu \partial^\mu + m^2] \Delta_\pi(x-y) = \delta(x-y), \quad (24)$$

then the pion field can be formally solved as

$$\pi_a(x) = g\tau_a \int dy \Delta_\pi(x-y) \cdot [\partial_\mu (\bar{\psi} \gamma^\mu \gamma_5 \psi)]_{(y)}. \quad (25)$$

With the partial-integration technique combined with the vanishing-flux condition, one finds that

$$\begin{aligned} \pi_a(x) &= 0 - g\tau_a \int dy [\partial_\mu^{(y)} \Delta_\pi(x-y)] \cdot (\bar{\psi} \gamma^\mu \gamma_5 \psi)_{(y)}, \\ \partial_\nu^{(x)} \pi_a(x) &= -g\tau_a \int dy [\partial_\nu^{(x)} \partial_\mu^{(y)} \Delta_\pi(x-y)] \cdot (\bar{\psi} \gamma^\mu \gamma_5 \psi)_{(y)}. \end{aligned} \quad (26)$$

In the heavy-pion limit, $\partial_\nu^{(x)} \partial_\mu^{(y)} \Delta_\pi(x-y) \simeq -g_{\nu\mu} \delta(x-y)/m^2$. Thus,

$$\partial_\nu \vec{\pi} \simeq \frac{g}{m^2} \vec{\tau} (\bar{\psi} \gamma^\mu \gamma_5 \psi). \quad (27)$$

Therefore, the EOM for $\psi(x)$ is approximated as

$$[i\gamma_\mu \partial^\mu - M] \psi(x) \simeq -\frac{g^2}{m^2} \vec{\tau} (\bar{\psi} \gamma^\mu \gamma_5 \psi) \cdot \vec{\tau} \gamma^\mu \gamma_5 \psi(x). \quad (28)$$

This equation is the same to that obtained from \mathcal{L}_{PC} , with a relation,

$$-\frac{g^2}{m^2} = -\alpha_{\text{IV-PV}}. \quad (29)$$

Notice that, for the correspondence of two models, $\alpha_{\text{IV-PV}} > 0$. Its unit must be in, e.g., $\text{MeV} \cdot \text{fm}^3$, since \mathcal{L}_{PC} and $\bar{\psi}\psi(x)$ have the units of $\text{MeV} \cdot \text{fm}^{-3}$ and fm^{-3} , respectively.

5 Quantization of Dirac spinor

In general, the spinor field consists of particle states with $E > 0$ and anti-particle states with $-E < 0$. Thus, it can be formally expanded as

$$\begin{aligned} \psi(x) &= \sum_s \psi_s(x), \\ \psi_s(x) &\equiv \langle x | s \rangle = \int_{E>0} dE [u_{s,E}(x) c_{s,E} + v_{s,-E}(x) b_{s,-E}], \end{aligned} \quad (30)$$

as well as,

$$\psi_r^\dagger(x) = \int_{E>0} dE [u_{r,E}^\dagger(x) c_{r,E}^\dagger + v_{r,-E}^\dagger(x) b_{r,-E}^\dagger], \quad (31)$$

where $u_{s,E}(x) \equiv \langle x | s, E \rangle$ and $v_{s,-E}(x) \equiv \langle x | s, -E \rangle$. Here the index s indicates the spin component, whereas $E > 0$ means the eigenvalue for certain Dirac's Hamiltonian. Assuming this Hamiltonian as \hat{h} , these basic states satisfy that,

$$\hat{h} u_{s,E}(x) = E u_{s,E}(x), \quad \hat{h} v_{s,-E}(x) = -E v_{s,-E}(x). \quad (32)$$

In the following, we assume that \hat{h} does not depend on time apparently. Thus, from Dirac equation, $i\hbar\partial_t u(x) = \hat{h}u(x)$, it is represented as

$$u_{s,E}(x) = e^{-itE/\hbar}u_{s,E}(\mathbf{r}), \quad v_{s,-E}(x) = e^{itE/\hbar}v_{s,-E}(\mathbf{r}). \quad (33)$$

Note the following points.

- Completeness of basis:

$$\hat{1} = \sum_s \int_{E>0} dE \left[|s, E\rangle \langle s, E| + |s, -E\rangle \langle s, -E| \right]. \quad (34)$$

Thus, from the overlap of y and x ,

$$\langle y | x \rangle = \sum_s \int dE \left[u_{s,E}^\dagger(y)u_{s,E}(x) + v_{s,-E}^\dagger(y)v_{s,-E}(x) \right] = \delta(y - x). \quad (35)$$

From Eq. (33), it is also concluded as

$$\sum_s \int dE \left[u_{s,E}^\dagger(\mathbf{y})u_{s,E}(\mathbf{x}) + v_{s,-E}^\dagger(\mathbf{y})v_{s,-E}(\mathbf{x}) \right] = \delta(\mathbf{y} - \mathbf{x}). \quad (36)$$

- Orthogonality of basis:

$$\begin{aligned} \langle r, E' | s, E \rangle &\equiv \delta(E' - E)\delta_{rs}, & \langle r, -E' | s, -E \rangle &\equiv \delta(E' - E)\delta_{rs}. \\ \longrightarrow \int d^3\mathbf{r} u_{r,E'}^\dagger(\mathbf{r})u_{s,E}(\mathbf{r}) &= \int d^3\mathbf{r} v_{r,-E'}^\dagger(\mathbf{r})v_{s,-E}(\mathbf{r}) = \delta(E' - E)\delta_{rs}. \end{aligned} \quad (37)$$

Also, remembering $E', E > 0$,

$$\begin{aligned} \langle r, -E' | s, E \rangle &= \int d^3\mathbf{r} v_{r,-E'}^\dagger(x)u_{s,E}(x) = 0, \\ \langle r, E' | s, -E \rangle &= \int d^3\mathbf{r} u_{r,E'}^\dagger(x)v_{s,-E}(x) = 0. \end{aligned} \quad (38)$$

- Spinor field must satisfy the anti-commutation relation at the same time:

$$\{\psi_r^\dagger(y), \psi_s(x)\}_{y_0=x_0} = \delta(\mathbf{y} - \mathbf{x})\delta_{rs}, \quad (39)$$

$$\{\psi_r(y), \psi_s(x)\}_{y_0=x_0} = \{\psi_r^\dagger(y), \psi_s^\dagger(x)\}_{y_0=x_0} = 0. \quad (40)$$

For the first relation, we find that,

$$\begin{aligned} \{\psi_r^\dagger(y), \psi_s(x)\}_{y_0=x_0} &= \sum_{r,s} \int dE' \int dE \\ &\left[u_{r,E'}^\dagger(y)u_{s,E}(x) \left\{ c_{r,E'}^\dagger, c_{s,E} \right\} + v_{r,-E'}^\dagger(y)v_{s,-E}(x) \left\{ b_{r,-E'}^\dagger, b_{s,-E} \right\} \right. \\ &\left. + v_{r,-E'}^\dagger(y)u_{s,-E}(x) \left\{ b_{r,-E'}^\dagger, c_{s,E} \right\} + u_{r,-E'}^\dagger(y)v_{s,-E}(x) \left\{ c_{r,E'}^\dagger, b_{s,-E} \right\} \right]_{y_0=x_0}. \end{aligned} \quad (41)$$

Therefore, to keep consistency with Eqs. (36) and (39), the operators must satisfy that

$$\left\{ c_{r,E'}^\dagger, c_{s,E} \right\} = \left\{ b_{r,-E'}^\dagger, b_{s,-E} \right\} = \delta_{rs}\delta(E' - E), \quad \{others\} = 0. \quad (42)$$

Notice that above formulas can work even in the case with general interaction(s) included in the Lagrangian density.

5.1 Hamiltonian

In general, Lagrangian density is written as $\mathcal{L} = \bar{\psi}(i\partial - M)\psi(x) + \bar{\psi}X\psi(x)$. The corresponding Hamiltonian density reads

$$\mathcal{H}(x) \equiv (\partial_0\psi^\dagger) \frac{\delta\mathcal{L}}{\delta(\partial_0\psi^\dagger)} + \frac{\delta\mathcal{L}}{\delta(\partial_0\psi)} (\partial_0\psi) - \mathcal{L} \quad (43)$$

$$\begin{aligned} &= 0 + \bar{\psi}i\gamma^0(\partial_0\psi) - \bar{\psi}i[\gamma^0\partial_0 + \gamma^k\partial_k]\psi(x) + M\bar{\psi}\psi(x) - \bar{\psi}X\psi(x) \\ &= \psi^\dagger \left[-i\vec{\alpha} \cdot \vec{\nabla} + \beta M - \beta X \right] \psi(x) \equiv \psi^\dagger \hat{h}_D \psi(x), \end{aligned} \quad (44)$$

where \hat{h}_D indicates the Dirac single-field Hamiltonian. **Note that, however, here I neglect the exchange (Fock) terms, which could appear from the interactions $\bar{\psi}X\psi(x)$.** The proper Hamiltonian is then given as $H(t) = \int d^3\mathbf{r}\mathcal{H}(x)$.

By employing the basis expansion introduced above, it can be represented as

$$\begin{aligned} H(t) &= \sum_{r,s} \int dE' \int dE \int d^3\mathbf{r} \\ &\quad \left[u_{r,E'}^\dagger(x)c_{r,E'}^\dagger + v_{r,-E'}^\dagger(x)b_{r,-E'}^\dagger \right] E \left[u_{s,E}(x)c_{s,E} - v_{s,-E}(x)b_{s,-E} \right], \end{aligned} \quad (45)$$

where we have used $\hat{h}_D u_{s,E} = E u_{s,E}$ and $\hat{h}_D v_{s,-E} = -E v_{s,-E}$. From Eqs. (37) and (38), one can find that only the $c_*^\dagger c_*$ and $b_*^\dagger b_*$ terms survive. That is,

$$\begin{aligned} H &= \sum_{r,s} \int dE' \int dE \left[e^{it(E'-E)/\hbar} c_{r,E'}^\dagger c_{s,E} - e^{-it(E'-E)/\hbar} b_{r,-E'}^\dagger b_{s,-E} \right] E \delta(E' - E) \delta_{rs} + 0 \\ &= \sum_s \int dE \left[c_{s,E}^\dagger c_{s,E} - b_{s,-E}^\dagger b_{s,-E} \right] E. \end{aligned} \quad (46)$$

This equation almost looks as the proper form for the total energy. However, the second term means that b_*^\dagger creates the negative-energy particle. To remedy this wired property, the anti-particle states are re-defined as $a_* \equiv b_*^\dagger$ and $a_*^\dagger \equiv b_*$. By this procedure, finally we can find that

$$H = \sum_s \int dE \left[c_{s,E}^\dagger c_{s,E} + a_{s,-E}^\dagger a_{s,-E} \right] E - \text{const.} \quad (47)$$

The vacuum is then defined as the state to become zero for c_* and a_* .

5.2 general representation with basis

In practical calculations, the Hamiltonian is represented with the chosen basic states. That is,

$$\begin{aligned} H(t) &= \sum_{r,s} \int dE' \int dE \int d^3\mathbf{r} \\ &\quad \left[u_{r,E'}^\dagger(x)c_{r,E'}^\dagger + v_{r,-E'}^\dagger(x)b_{r,-E'}^\dagger \right] \hat{h}_D \left[u_{s,E}(x)c_{s,E} + v_{s,-E}(x)b_{s,-E} \right], \end{aligned} \quad (48)$$

where $u(x)$ and $v(x)$ are, however, NOT the eigenstates of \hat{h}_D anymore. Thus, the labels E and E' are now general ones: those are not definitely for energies. By using the matrix elements,

$$h_{r,E',s,E}^{(pp)}(t) \equiv \int d^3\mathbf{r} u_{r,E'}^\dagger(x) \hat{h}_D u_{s,E}(x), \quad h_{r,-E',s,E}^{(ap)}(t) \equiv \int d^3\mathbf{r} v_{r,-E'}^\dagger(x) \hat{h}_D v_{s,E}(x), \quad \text{etc.}, \quad (49)$$

then it can be formally given as

$$\begin{aligned}
H(t) = & \sum_{r,s} \int dE' \int dE \left[h_{r,E',s,E}^{(pp)}(t) c_{r,E'}^\dagger c_{s,E} + h_{r,-E',s,E}^{(ap)}(t) b_{r,-E'}^\dagger c_{s,E} \right. \\
& \left. h_{r,E',s,-E}^{(pa)}(t) c_{r,E'}^\dagger b_{s,-E} + h_{r,-E',s,-E}^{(aa)}(t) b_{r,-E'}^\dagger b_{s,-E} \right]. \tag{50}
\end{aligned}$$

Within the no-sea approximation, we neglect the anti-particle components, namely the 2nd to 4th terms in the Hamiltonian. In this case, one finds the usual form,

$$H(t) \simeq \sum_{k,l} h_{k,l}^{(pp)}(t) c_k^\dagger c_l, \tag{51}$$

where the simplified labels $k = \{r, E'\}$ and $l = \{s, E\}$ are employed. The vacuum-expectation value $\langle H(t) \rangle_\Phi$ is then a functional of several densities, similarly in the non-relativistic multi-fermion models. The Bogoliubov transformation can be also determined for c_*^\dagger and c_* operators.

Basic Formalism for Meanfield, HFB, and QRPA Methods

Tomohiro Oishi

6 Operators

Creation and Annihilation Operators;

$$\{c_\alpha, c_\beta^\dagger\} = \delta_{\alpha\beta} \quad (52)$$

$$\{c_\alpha, c_\beta\} = \{c_\alpha^\dagger, c_\beta^\dagger\} = 0 \quad (53)$$

$$c_\alpha = \int dx \langle \alpha | x \rangle \hat{\psi}(x), \quad c_\alpha^\dagger = \int dx \langle x | \alpha \rangle \hat{\psi}^\dagger(x) \quad (\langle x | \alpha \rangle = \phi_\alpha(x)) \quad (54)$$

$$\hat{\psi}(x) = \sum_\alpha \langle x | \alpha \rangle c_\alpha, \quad \hat{\psi}^\dagger(x) = \sum_\alpha \langle \alpha | x \rangle c_\alpha^\dagger \quad (55)$$

$$\{\hat{\psi}(x), \hat{\psi}^\dagger(y)\} = \delta(x, y) \quad (56)$$

$$\{\hat{\psi}(x), \hat{\psi}(y)\} = \{\hat{\psi}^\dagger(x), \hat{\psi}^\dagger(y)\} = 0 \quad (57)$$

7 States

Vacuum;

$$|\alpha_1\rangle = c_{\alpha_1}^\dagger |0\rangle \quad (58)$$

$$c_\alpha |0\rangle = 0, \quad \langle 0 | c_\alpha^\dagger = 0 \quad (59)$$

$$|x\rangle = \hat{\psi}^\dagger(x) |0\rangle \quad (60)$$

$$\hat{\psi}(x) |0\rangle = 0, \quad \langle 0 | \hat{\psi}^\dagger(x) = 0 \quad (61)$$

Slater Determinant;

$$|\alpha_1, \alpha_2, \dots, \alpha_N\rangle = \frac{1}{\sqrt{N!}} \sum_{P \in S_N} (-)^P |\alpha_{P_1}\rangle |\alpha_{P_2}\rangle \dots |\alpha_{P_N}\rangle \quad (62)$$

$$= c_{\alpha_1}^\dagger c_{\alpha_2}^\dagger \dots c_{\alpha_N}^\dagger |0\rangle \quad (63)$$

$$c_\beta^\dagger |\alpha_1, \alpha_2, \dots, \alpha_N\rangle = |\beta, \alpha_1, \alpha_2, \dots, \alpha_N\rangle \quad (64)$$

Completeness;

$$\frac{1}{N!} \sum_{\alpha_1 \dots \alpha_N} |\alpha_1 \dots \alpha_N\rangle \langle \alpha_1 \dots \alpha_N| = \hat{1}_{\mathcal{F}_N} \quad (65)$$

$$\sum_{\alpha_1 < \alpha_2 < \dots < \alpha_N} |\alpha_1 \dots \alpha_N\rangle \langle \alpha_1 \dots \alpha_N| = \hat{1}_{\mathcal{F}_N} \quad (66)$$

$$|0\rangle \langle 0| + \sum_{N=1}^{\infty} \left[\frac{1}{N!} \sum_{\alpha_1 \dots \alpha_N} |\alpha_1 \dots \alpha_N\rangle \langle \alpha_1 \dots \alpha_N| \right] = \hat{1}_{\mathcal{F}} \quad (67)$$

$$\mathcal{F} = \mathcal{F}_1 \oplus \mathcal{F}_2 \oplus \dots \oplus \mathcal{F}_N \oplus \dots \quad (68)$$

General A -body State;

$$|\Psi_A\rangle = \hat{\Psi}_A^\dagger |0\rangle = \left[\frac{1}{\sqrt{A!}} \sum_{\{\alpha_k\}} D(\alpha_1 \cdots \alpha_A) c_{\alpha_1}^\dagger c_{\alpha_2}^\dagger \cdots c_{\alpha_A}^\dagger \right] |0\rangle \quad (69)$$

$$= \frac{1}{\sqrt{A!}} \sum_{\{\alpha_k\}} D(\alpha_1 \cdots \alpha_A) |\alpha_1 \cdots \alpha_A\rangle \quad (70)$$

$$= \sum_{\alpha_1 < \alpha_2 < \cdots < \alpha_A} D(\alpha_1 \cdots \alpha_A) |\alpha_1 \cdots \alpha_A\rangle \quad (71)$$

$$D(\cdots \alpha_k \cdots \alpha_l \cdots) = (-)D(\cdots \alpha_l \cdots \alpha_k \cdots) \quad (72)$$

Normalization;

$$\langle \Psi_A | \Psi_A \rangle = \frac{1}{A!} \sum_{\{\alpha_k\}} \sum_{\{\beta_k\}} D^*(\alpha_1 \cdots \alpha_A) D(\beta_1 \cdots \beta_A) \langle \alpha_1 \cdots \alpha_A | \beta_1 \cdots \beta_A \rangle \quad (73)$$

$$= \frac{1}{A!} \sum_{\{\alpha_k\}} |D(\alpha_1 \cdots \alpha_A)|^2 = \sum_{\alpha_1 < \alpha_2 < \cdots < \alpha_A} |D(\alpha_1 \cdots \alpha_A)|^2 \equiv 1 \quad (74)$$

8 Density Matrix

Several Representations (even with Time-Dependence);

$$\hat{\rho}^{(\alpha\beta)} \equiv c_\beta^\dagger c_\alpha, \quad (75)$$

$$\hat{\rho}^{(xy)} \equiv \hat{\psi}^\dagger(y) \hat{\psi}(x) \quad (76)$$

$$\rho_{\Psi'_A(t), \Psi_A(t)}^{(\alpha\beta)} = \langle \Psi'_A(t) | \hat{\rho}^{(\alpha\beta)} | \Psi_A(t) \rangle = \langle \Psi'_A(t) | c_\beta^\dagger c_\alpha | \Psi_A(t) \rangle, \quad (77)$$

$$= \langle \alpha | \hat{\rho}_{\Psi'_A(t), \Psi_A(t)} | \beta \rangle \quad (78)$$

$$\rho_{\Psi'_A(t), \Psi_A(t)}^{(xy)} = \langle \Psi'_A(t) | \hat{\rho}^{(xy)} | \Psi_A(t) \rangle = \langle \Psi'_A(t) | \hat{\psi}^\dagger(y) \hat{\psi}(x) | \Psi_A(t) \rangle, \quad (79)$$

$$= \langle x | \hat{\rho}_{\Psi'_A(t), \Psi_A(t)} | y \rangle \quad (80)$$

$$\hat{\rho}_{\Psi'_A(t), \Psi_A(t)} = \sum_{\alpha} \sum_{\beta} |\alpha\rangle \rho_{\Psi'_A(t), \Psi_A(t)}^{(\alpha\beta)} \langle \beta|, \quad (81)$$

$$= \int dx \int dy |x\rangle \rho_{\Psi'_A(t), \Psi_A(t)}^{(xy)} \langle y| \quad (82)$$

Density Matrix of $|\Psi_A\rangle$;

$$\rho_{\Psi_A}^{(\alpha\beta)} = \langle \Psi_A | c_\beta^\dagger c_\alpha | \Psi_A \rangle (= \langle \alpha | \hat{\rho}_{\Psi_A, \Psi_A} | \beta \rangle) \quad (83)$$

$$= \frac{1}{A!} \sum_{\{\beta_k\}} \sum_{\{\alpha_k\}} D^*(\beta_1 \cdots \beta_A) \langle 0 | (c_{\beta_A} \cdots c_{\beta_1}) c_\beta^\dagger c_\alpha (c_{\alpha_1}^\dagger \cdots c_{\alpha_A}^\dagger) | 0 \rangle D(\alpha_1 \cdots \alpha_A) \quad (84)$$

$$= \frac{(A-1)!}{A!} \sum_{\gamma_2 \cdots \gamma_A} [D^*(\beta, \gamma_2, \cdots) + (-)D^*(\gamma_2, \beta, \cdots) + \cdots]_{(A \text{ terms})} \\ \times [D(\alpha, \gamma_2, \cdots) + (-)D(\gamma_2, \alpha, \cdots) + \cdots]_{(A \text{ terms})} \quad (85)$$

$$= A \sum_{\gamma_2 \cdots \gamma_A} D^*(\beta, \gamma_2 \cdots \gamma_A) D(\alpha, \gamma_2 \cdots \gamma_A) \quad (86)$$

$$= (A!) \sum_{\gamma_2 < \cdots < \gamma_A} D^*(\beta, \gamma_2 \cdots \gamma_A) D(\alpha, \gamma_2 \cdots \gamma_A) \quad (87)$$

$$\rho_{\Psi_A}^{(xy)} = \langle \Psi_A | \hat{\psi}^\dagger(y) \hat{\psi}(x) | \Psi_A \rangle (= \langle x | \hat{\rho}_{\Psi_A, \Psi_A} | y \rangle) \quad (88)$$

$$= \sum_{\beta} \sum_{\alpha} \phi_{\beta}^*(y) \langle \Psi_A | c_{\beta}^{\dagger} c_{\alpha} | \Psi_A \rangle \phi_{\alpha}(x) \quad (89)$$

$$= \sum_{\beta} \sum_{\alpha} \phi_{\beta}^*(y) \rho_{\Psi_A}^{(\alpha\beta)} \phi_{\alpha}(x) = \langle x | \left[\sum_{\alpha} \sum_{\beta} |\alpha\rangle \rho_{\Psi_A}^{(\alpha\beta)} \langle\beta| \right] | y \rangle \quad (90)$$

Density Matrix of the Single Slater Determinant;

$$\rho^{(\alpha\beta)} = \langle \alpha_1 \cdots \alpha_A | c_{\beta}^{\dagger} c_{\alpha} | \alpha_1 \cdots \alpha_A \rangle \propto \delta_{\alpha\beta}, \quad (91)$$

$$\rho^{(\alpha\alpha)} = \begin{cases} 0 & (\alpha > \alpha_A) \\ 1 & (\alpha \leq \alpha_A) \end{cases} \quad (92)$$

$$\hat{\rho} = \sum_{\alpha} \sum_{\beta} |\alpha\rangle \rho^{(\alpha\beta)} \langle\beta| = \sum_{j \leq \alpha_A} |j\rangle \langle j|, \quad (93)$$

$$\hat{\rho}^2 = \hat{\rho} \quad (94)$$

9 Hamiltonian

Basis Representation;

$$H = T + V = \sum_{\alpha\gamma} t_{\alpha,\gamma} c_{\alpha}^{\dagger} c_{\gamma} + \frac{1}{4} \sum_{\alpha\beta\gamma\delta} \tilde{v}_{\alpha\beta,\gamma\delta} (c_{\beta} c_{\alpha})^{\dagger} (c_{\delta} c_{\gamma}) \quad (95)$$

$$t_{\alpha,\gamma} = \langle \alpha | T | \gamma \rangle \quad (96)$$

$$\tilde{v}_{\alpha\beta,\gamma\delta} = \frac{1}{2} [\langle \alpha\beta | V | \gamma\delta \rangle - (\alpha \leftrightarrow \beta) - (\gamma \leftrightarrow \delta) + (\alpha \leftrightarrow \beta \ \& \ \gamma \leftrightarrow \delta)] \quad (97)$$

Local Force Assumption;

$$\langle x'y' | V | xy \rangle = \delta(x', x) \delta(y', y) V(x, y) \quad (98)$$

Expectational Value via Slater Determinant;

$$E_0 \equiv \langle \alpha_1 \cdots \alpha_N | H | \alpha_1 \cdots \alpha_N \rangle = \langle \alpha_1 \cdots \alpha_N | T + V | \alpha_1 \cdots \alpha_N \rangle \quad (99)$$

$$= \sum_{\rho=1}^{\infty} \theta_{\rho} t_{\rho,\rho} + \frac{1}{4} \sum_{(\mu \neq \nu)=1}^{\infty} \theta_{\mu} \theta_{\nu} [\tilde{v}_{\mu\nu,\mu\nu} - \tilde{v}_{\mu\nu,\nu\mu}] \quad (100)$$

$$= \sum_{k=1}^N t_{k,k} + \frac{1}{2} \sum_{(i \neq j)=1}^N \tilde{v}_{ij,ij} \quad (101)$$

$$= \sum_i \left[\langle i | T | i \rangle + \frac{1}{2} \sum_{j(\neq i)} (\langle ij | V | ij \rangle - \langle ij | V | ji \rangle) \right] \quad (102)$$

$$\langle i | T | k \rangle = \int dx' \int dx \phi_i^*(x') \langle x' | T | x \rangle \phi_k(x) \quad (103)$$

$$\langle ij | V | kl \rangle = \int^{(2)} dx' dy' \int^{(2)} dx dy \phi_i^*(x') \phi_j^*(y') \langle x'y' | V | xy \rangle \phi_k(x) \phi_l(y) \quad (104)$$

$$= \int^{(2)} dx dy \phi_i^*(x) \phi_j^*(y) V(x, y) \phi_k(x) \phi_l(y) \quad (105)$$

Coordinate Representation;

$$T = \int dx' \int dx \hat{\psi}^\dagger(x') \langle x' | T | x \rangle \hat{\psi}(x) \quad (106)$$

$$V = \frac{1}{2} \int^{(2)} dx' dy' \int^{(2)} dx dy \hat{\psi}^\dagger(x') \hat{\psi}^\dagger(y') \langle x' y' | V | xy \rangle \hat{\psi}(x) \hat{\psi}(y) \quad (107)$$

$$= \frac{1}{2} \int^{(2)} dx dy \hat{\psi}^\dagger(x) \hat{\psi}^\dagger(y) V(x, y) \hat{\psi}(x) \hat{\psi}(y) \quad (108)$$

External Local Field;

$$V_{ext} = \sum_{\alpha\gamma} \langle \alpha | V_{ext} | \gamma \rangle c_\alpha^\dagger c_\gamma \quad (109)$$

$$= \int dx' \int dx \sum_{\alpha\gamma} \phi_\alpha^*(x') \langle x' | V_{ext} | x \rangle \phi_\gamma(x) c_\alpha^\dagger c_\gamma \quad (110)$$

$$= \int dx' \int dx \hat{\psi}^\dagger(x') \langle x' | V_{ext} | x \rangle \hat{\psi}(x) \quad (111)$$

$$= \int dx \hat{\psi}^\dagger(x) V_{ext}(x) \hat{\psi}(x) \quad (112)$$

$$\langle \alpha_1 \cdots \alpha_N | V_{ext} | \alpha_1 \cdots \alpha_N \rangle = \sum_i \int dx \phi_i^*(x) V_{ext}(x) \phi_i(x) \quad (113)$$

10 Hartree-Fock Method

HF-Ground State as a Slater Determinant;

$$|\Psi\rangle = |\alpha_1 \cdots \alpha_N\rangle, \quad E_0 \equiv \langle \Psi | H | \Psi \rangle \quad (H = T + V + V_{ext}) \quad (114)$$

Variational Principle;

$$\frac{\delta \left(E_0 - \sum_\beta e_\beta \langle \phi_\beta | \phi_\beta \rangle \right)}{\delta \phi_\alpha^*(w)} = 0 \quad (115)$$

Self-Consistent Equation;

$$\left[-\frac{\hbar^2}{2m} \nabla_w^2 + V_H(w) + V_{ext}(w) \right] \phi_\alpha(w) - \int dy V_F(w, y) \phi_\alpha(y) = e_\alpha \phi_\alpha(w) \quad (116)$$

$$V_H(w) = \sum_{\beta(\neq\alpha)=1}^N \int dy \phi_\beta^*(y) V(w, y) \phi_\beta(y) \quad (117)$$

$$V_F(w, y) = \sum_{\beta(\neq\alpha)=1}^N \phi_\beta^*(y) V(w, y) \phi_\beta(w) \quad (118)$$

1-Body Density;

$$\hat{\rho}(\mathbf{r}) = \sum_s \hat{\psi}^\dagger(\mathbf{r}s) \hat{\psi}(\mathbf{r}s) = \sum_s \sum_\alpha \langle \alpha | \mathbf{r}s \rangle c_\alpha^\dagger \sum_\beta \langle \beta | \mathbf{r}s \rangle c_\beta \quad (119)$$

$$|\Psi[\rho]\rangle \leftrightarrow \rho(\mathbf{r}) = \langle \Psi | \hat{\rho}(\mathbf{r}) | \Psi \rangle \quad (120)$$

$$= \left\langle \alpha_1 \cdots \alpha_N \left| \sum_s \sum_{\alpha} \phi_{\alpha}^*(\mathbf{r}s) c_{\alpha}^{\dagger} \sum_{\beta} \phi_{\beta}(\mathbf{r}s) c_{\beta} \right| \alpha_1 \cdots \alpha_N \right\rangle \quad (121)$$

$$= \sum_s \sum_{\alpha} \sum_{\beta} \phi_{\alpha}^*(\mathbf{r}s) \phi_{\beta}(\mathbf{r}s) \rho^{(\beta\alpha)} = \sum_s \sum_{\alpha=\alpha_1}^{\alpha_N} |\phi_{\alpha}(\mathbf{r}s)|^2 \quad (122)$$

Energy Density Functional (at HF-level);

$$\mathcal{E}_{\text{HF}}[\rho] = \langle \Psi[\rho] | H | \Psi[\rho] \rangle = T[\rho] + E_H[\rho] + E_F[\rho] + E_{\text{ext}}[\rho] \quad (123)$$

$$T[\rho] = -\frac{\hbar^2}{2m} \sum_{k=1}^N |\nabla \phi_k(x)|^2 \quad (x = \mathbf{r}s) \quad (124)$$

$$E_H[\rho] = \frac{1}{2} \int dx \int dx' V(x', x) \rho(x') \rho(x) \quad (125)$$

$$E_F[\rho] = ? \quad (126)$$

$$E_{\text{ext}}[\rho] = ? \quad (127)$$

11 HF + Bogoliubov Method

Hamiltonian (with Einstein's Rule);

$$H' = H - \lambda N = (t_{k,m} - \lambda \delta_{k,m}) c_k^{\dagger} c_m + \frac{1}{4} \tilde{v}_{kl,mn} (c_l c_k)^{\dagger} (c_n c_m) \quad (128)$$

Bogoliubov Transformation;

$$\begin{cases} b_k = U_{ik}^* c_i + V_{ik}^* c_i^{\dagger} \\ b_k^{\dagger} = U_{ik} c_i^{\dagger} + V_{ik} c_i \end{cases} \leftrightarrow \begin{cases} c_i = U_{im} b_m + V_{im}^* b_m^{\dagger} \\ c_i^{\dagger} = U_{im}^* b_m^{\dagger} + V_{im} b_m \end{cases} \quad (129)$$

$$\begin{pmatrix} b \\ b^{\dagger} \end{pmatrix} = \mathcal{W} \begin{pmatrix} c \\ c^{\dagger} \end{pmatrix} = \begin{pmatrix} U^{\dagger} & V^{\dagger} \\ V^T & U^T \end{pmatrix} \begin{pmatrix} c \\ c^{\dagger} \end{pmatrix} \leftrightarrow \begin{pmatrix} c \\ c^{\dagger} \end{pmatrix} = \begin{pmatrix} U & V^* \\ V & U^* \end{pmatrix} \begin{pmatrix} b \\ b^{\dagger} \end{pmatrix} \quad (130)$$

Unitarity;

$$\mathcal{W} \mathcal{W}^{\dagger} = \begin{pmatrix} U^{\dagger} U + V^{\dagger} V & U^{\dagger} V^* + V^{\dagger} U^* \\ V^T U + U^T V & V^T V^* + U^T U^* \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \rightarrow \begin{cases} U^{\dagger} U + V^{\dagger} V = 1 \\ V^T U + U^T V = 0 \end{cases} \quad (131)$$

$$\mathcal{W}^{\dagger} \mathcal{W} = \begin{pmatrix} U U^{\dagger} + V^* V^T & U V^{\dagger} + V^* U^T \\ V U^{\dagger} + U^* V^T & V V^{\dagger} + U^* U^T \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \rightarrow \begin{cases} U U^{\dagger} + V^* V^T = 1 \\ U V^{\dagger} + V^* U^T = 0 \end{cases} \quad (132)$$

HFB Vacuum and Normal Ordering;

$$b_k | \cdot \rangle = 0, \quad \langle \cdot | b_k^{\dagger} = 0 \quad (133)$$

$$\langle \cdot | : X(b^{\dagger}, b) : | \cdot \rangle = 0 \quad (134)$$

Density-Tensor and Pair-Tensor;

$$\rho_{kl} \equiv \langle \cdot | c_l^{\dagger} c_k | \cdot \rangle = V_{lm} V_{km}^* \quad , \quad \kappa_{kl} \equiv \langle \cdot | c_l c_k | \cdot \rangle = (-) \kappa_{lk} = U_{lm} V_{km}^* \quad (135)$$

$$\iff \rho = V^* V^T = 1 - U U^{\dagger} = \rho^{\dagger} \quad , \quad \kappa = (-) \kappa^T = V^* U^T = -U V^{\dagger} \quad (136)$$

Meanfield and Pairing Potentials;

$$\Gamma_{kl} = \tilde{v}_{km,ln}\rho_{nl}, \quad \Delta_{kl} = \frac{1}{2}\tilde{v}_{kl,mn}\kappa_{mn} \leftrightarrow \Delta_{kl}^* = \frac{1}{2}\tilde{v}_{mn,kl}\kappa_{mn}^* \quad (137)$$

Quasiparticle Representation of $H' = H - \lambda N$;

$$H' = H^{(0)} + H^{(2)} + H^{(4)} \quad (138)$$

$$H^{(0)} = \langle . | H' | . \rangle = tr \left[(t - \lambda 1)\rho + \frac{1}{2}\Gamma\rho - \frac{1}{2}\Delta\kappa^* \right] \quad (139)$$

$$H^{(2)} = \frac{1}{2} : \begin{pmatrix} c^\dagger & c \end{pmatrix} \begin{pmatrix} h - \lambda 1 & \Delta \\ -\Delta^* & -h^* + \lambda 1 \end{pmatrix} \begin{pmatrix} c \\ c^\dagger \end{pmatrix} : \quad (h = t + \Gamma) \quad (140)$$

$$= H^{(11)} + H^{(20)} + h.c. \quad (141)$$

$$H^{(11)} = b_m^\dagger b_n \left[\begin{pmatrix} U^\dagger & V^\dagger \end{pmatrix} \begin{pmatrix} h - \lambda 1 & \Delta \\ -\Delta^* & -h^* + \lambda 1 \end{pmatrix} \begin{pmatrix} U \\ V \end{pmatrix} \right]_{mn} \quad (142)$$

$$H^{(20)} + h.c. = \frac{1}{2} b_m^\dagger b_n^\dagger \left[\begin{pmatrix} U^\dagger & V^\dagger \end{pmatrix} \begin{pmatrix} h - \lambda 1 & \Delta \\ -\Delta^* & -h^* + \lambda 1 \end{pmatrix} \begin{pmatrix} V^* \\ U^* \end{pmatrix} \right]_{mn} + h.c. \quad (143)$$

$$H^{(4)} = \frac{1}{4} \tilde{v}_{kl,mn} : (c_l c_k)^\dagger (c_n c_m) : \quad (144)$$

HFB Solution (U, V);

$$H' = H^{(0)} + \sum_k E_k b_k^\dagger b_k + 0 + H^{(4)} \quad (145)$$

Energy Density Functional (at HFB-level);

$$\mathcal{E}[\rho, \kappa, \kappa^*] = \langle . | H' | . \rangle = \mathcal{E}_{\text{HF}}[\rho, \kappa, \kappa^*] + \mathcal{E}_{\text{pair}}[\rho, \kappa, \kappa^*] \quad (146)$$

$$= H^{(0)} = tr \left[(t - \lambda 1)\rho + \frac{1}{2}\Gamma\rho - \frac{1}{2}\Delta\kappa^* \right] \quad (147)$$

$$h_{kl}[\rho, \kappa, \kappa^*] = \frac{\partial \mathcal{E}}{\partial \rho_{lk}} = (t - \lambda 1)_{kl} + \Gamma_{kl}, \quad \Delta_{kl}[\rho, \kappa, \kappa^*] = \frac{\partial \mathcal{E}}{\partial \kappa_{kl}^*} \quad (148)$$

12 Finite Amplitude Method

FAM Linear Response Equation;

$$A\vec{x} = \vec{f} \quad (149)$$

$$\vec{x} = \begin{pmatrix} X_{\mu\nu}(\omega) \\ Y_{\mu\nu}(\omega) \end{pmatrix}, \quad \vec{f} = \begin{pmatrix} F_{\mu\nu}^{20}(\omega) \\ F_{\mu\nu}^{02}(\omega) \end{pmatrix} \quad (150)$$

$$A\vec{x} = \begin{pmatrix} (E_\mu + E_\nu - \omega)X_{\mu\nu}(\omega) + \delta H_{\mu\nu}^{20}(\omega) \\ (E_\mu + E_\nu + \omega)Y_{\mu\nu}(\omega) + \delta H_{\mu\nu}^{02}(\omega) \end{pmatrix} \quad (151)$$

$$\delta H_{\mu\nu}^{20}(\omega) = +U^\dagger \delta h V^* - V^\dagger \delta \Delta^{(-)*} V^* + U^\dagger \delta \Delta^{(+)} U^* - V^\dagger \delta h^T U^* \quad (152)$$

$$\delta H_{\mu\nu}^{02}(\omega) = -V^T \delta h U + U^T \delta \Delta^{(-)*} U - V^T \delta \Delta^{(+)} V + U^T \delta h^T V \quad (153)$$

13 Wick's Theorem

Philosophy;

$$\hat{\mathcal{T}}[O] = \langle - | O | - \rangle + \hat{\mathcal{N}}[O] \quad (154)$$

Formulas;

$$\begin{aligned} \hat{\mathcal{T}}[\psi(x_1) \cdots \psi(x_n)] &= : \psi(x_1) \cdots \psi(x_n) : \\ &+ \sum_{P \in S_n} \langle - | \psi(x_{P_1}) \psi(x_{P_2}) | - \rangle : \psi(x_{P_3}) \cdots \psi(x_{P_n}) : \\ &+ \sum_{P \in S_n} \langle - | \psi(x_{P_1}) \psi(x_{P_2}) | - \rangle \langle - | \psi(x_{P_3}) \psi(x_{P_4}) | - \rangle : \psi(x_{P_5}) \cdots \psi(x_{P_n}) : \\ &\vdots \\ &+ \sum_{P \in S_n} \langle - | \psi(x_{P_1}) \psi(x_{P_2}) | - \rangle \cdots \langle - | \psi(x_{P_{n-1}}) \psi(x_{P_n}) | - \rangle \end{aligned} \quad (155)$$

$$\begin{aligned} d_1 \cdots d_n &= : d_1 \cdots d_n : \quad (d_k \leftarrow c_k, c_k^\dagger) \\ &+ \sum_{P \in S_n} \langle - | d_{P_1} d_{P_2} | - \rangle : d_{P_3} \cdots d_{P_n} : \\ &+ \sum_{P \in S_n} \langle - | d_{P_1} d_{P_2} | - \rangle \langle - | d_{P_3} d_{P_4} | - \rangle : d_{P_5} \cdots d_{P_n} : \\ &\vdots \\ &+ \sum_{P \in S_n} \langle - | d_{P_1} d_{P_2} | - \rangle \cdots \langle - | d_{P_{n-1}} d_{P_n} | - \rangle \end{aligned} \quad (156)$$

Dirac HFB equation from the sigma-nucleon model

14 Introduction

After reading Ref. [2], here I try to formalize the HFB equation from the relativistic Lagrangian (density), which is, however, simpler than the original version. Namely, it contains only the nucleon $\psi(x)$ and the sigma meson $\sigma(x)$ ¹. That is,

$$\begin{aligned} \mathcal{L}[\psi, \psi^*, \sigma] &= \bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi(x) + \frac{1}{2} (\partial^\mu \sigma \partial_\mu \sigma - \mu^2 \sigma^2) \\ &\quad - g_\sigma \bar{\psi} \sigma \psi(x), \end{aligned} \quad (157)$$

where $\partial^\mu = (\frac{\partial}{\partial t}, -\vec{\nabla})$ and $\partial_\mu = (\frac{\partial}{\partial t}, +\vec{\nabla})$. Thus, conjugate fields are

$$\begin{aligned} \Pi_\psi(x) &= \frac{\partial \mathcal{L}}{\partial(\partial_0 \psi)} = i\bar{\psi}(x)\gamma^0 = i\psi^\dagger(x), \\ \Pi_{\psi^*}(x) &= 0, \\ \Pi_\sigma(x) &= \frac{\partial \mathcal{L}}{\partial(\partial_0 \sigma)} = \dot{\sigma}(x) = \dot{\sigma}(x). \end{aligned} \quad (158)$$

Therefore, the Hamiltonian (density) is given as

$$\begin{aligned} \mathcal{H} &= \Pi_\psi \dot{\psi} + \Pi_\sigma \dot{\sigma} - \mathcal{L} \\ &= i\bar{\psi}(x)\gamma^0(\partial_0 \psi) + \dot{\sigma}(x) \cdot \partial_0 \sigma(x) - \mathcal{L} \\ &= 0 + i\bar{\psi} [\gamma^1 \partial_1 + \gamma^2 \partial_2 + \gamma^3 \partial_3 + m] \psi(x) \\ &\quad + \frac{1}{2} [\dot{\sigma}^2 + (\vec{\nabla} \sigma)^2 + \mu^2 \sigma^2(x)] + g_\sigma \bar{\psi} \sigma \psi, \\ \implies H &= \int dV_x \mathcal{H} = H_N + H_M + H_I, \end{aligned} \quad (159)$$

where

$$\begin{aligned} H_N &= \int dV_x \psi^\dagger(x) [\vec{\alpha} \cdot \mathbf{p} + \beta m] \psi(x), \\ H_M &= \int dV_x \frac{1}{2} [\Pi_\sigma^2 + (\vec{\nabla} \sigma)^2 + \mu^2 \sigma^2(x)], \\ H_I &= \int dV_x g_\sigma \bar{\psi}(x) \sigma(x) \psi(x). \end{aligned} \quad (160)$$

Remember that $\beta = \gamma^0$, $\alpha_n = \gamma^0 \gamma^n$, and $p_n = i\partial_n$ ($n = 1, 2, 3$).

15 Equations of Interacting Fields

15.1 sigma meson

First we solve the σ -meson field. Klein-Gordon equation for $\sigma(x)$ reads

$$\begin{aligned} \partial^\mu \frac{\partial \mathcal{L}}{\partial(\partial^\mu \sigma)} - \frac{\partial \mathcal{L}}{\partial \sigma} &= 0 \\ \implies (\partial^\mu \partial_\mu + \mu^2) \sigma(x) &= -g_\sigma \bar{\psi}(x) \psi(x). \end{aligned} \quad (161)$$

¹This model is indeed Yukawa model as written in Eq. (4.112) in the textbook [1].

By using the Green function D_σ , which satisfies

$$(\partial^\mu \partial_\mu + \mu^2)_x D_\sigma(x - y) = \delta(x - y), \quad (162)$$

the formal solution is given as

$$\sigma(x) = \int dy D_\sigma(x - y) \cdot (-g_\sigma) \bar{\psi}(y) \psi(y). \quad (163)$$

As well known, this Green function is indeed *propagator* of the scalar field:

$$D_\sigma(x - y) = \int \frac{d^4 k}{(2\pi)^4} \frac{(-)}{k^2 - \mu^2 + i\epsilon} e^{-ik(x-y)}. \quad (164)$$

15.2 nucleon

Next we consider the nucleon field. Within the Heisenberg representation $\psi(x) = e^{itH} \psi(0, \mathbf{x}) e^{-itH}$, the field operator follows the time-development equation,

$$\begin{aligned} i \frac{\partial}{\partial t} \psi(x) &= [\psi(x), H] = [\psi(x), H_N + H_I] \\ &= \int dV_w [\psi(x), \psi^\dagger(w) \{ \vec{\alpha} \cdot \mathbf{p} + \beta m + g_\sigma \gamma_0 \sigma(w) \}_w \psi(w)]. \end{aligned} \quad (165)$$

The first plus second terms yield the usual formula:

$$\begin{aligned} [\psi(x), H_N] &= \int dV_w \psi(x) \psi^\dagger(w) \{ \vec{\alpha} \cdot \mathbf{p} + \beta m \}_w \psi(w) \\ &\quad - \int dV_w \psi^\dagger(w) \{ \vec{\alpha} \cdot \mathbf{p} + \beta m \}_w \psi(w) \psi(x) \\ &= \int dV_w \delta(x - w) \{ \vec{\alpha} \cdot \mathbf{p} + \beta m \}_w \psi(w) + 0 \\ &= \{ \vec{\alpha} \cdot \mathbf{p} + \beta m \}_x \psi(x), \end{aligned} \quad (166)$$

from $\psi(x) \psi^\dagger(w) = \delta(x - w) - \psi^\dagger(w) \psi(x)$. This result is consistent to the free Dirac equation. From the similar calculation, the third term yields

$$[\psi(x), H_I] = g_\sigma \gamma_0^0 \sigma \psi(x), \quad (167)$$

consistently to the interaction term in the Dirac equation. Note that its conjugate version follows the similar form. Summarizing these results, we have obtained

$$\begin{aligned} i \frac{\partial}{\partial t} \psi(x) = [\psi(x), H] &\Leftrightarrow [i\partial_t - \{ \vec{\alpha} \cdot \mathbf{p} + \beta m \}_x] \psi(x) = g_\sigma \gamma_0^0 \sigma \psi(x), \\ i \frac{\partial}{\partial t} \psi^\dagger(x) = [\psi^\dagger(x), H] &\Leftrightarrow \psi^\dagger(x) [i\partial_t - \{ \vec{\alpha} \cdot \mathbf{p} + \beta m \}_x] = g_\sigma \bar{\psi}(x) \sigma(x), \end{aligned} \quad (168)$$

where the source term $g_\sigma \gamma_0 \sigma(x)$ shows up. It is useful to note that

$$\begin{aligned} \hat{T} [\psi(x) \bar{\psi}(y)] &= S_F(x, y) + \hat{N}_0 [\psi(x) \bar{\psi}(y)] = S_F(x, y) + (-) \bar{\psi}(y) \psi(x), \\ S_F(x, y) &= \langle 0 | \hat{T} [\psi(x) \bar{\psi}(y)] | 0 \rangle, \end{aligned} \quad (169)$$

from the Wick's theorem², where \hat{N}_0 means the normal ordering with respect to the free vacuum: $\langle 0 | \hat{N}_0 [\dots] | 0 \rangle$. The $S_F(x, y)$ is the Feynman propagator of the free fermion, satisfying

$$\begin{aligned} [i\gamma^\nu \partial_\nu - m]_x S_F(x, y) = \delta(x, y) &\Leftrightarrow [i\gamma^0 \partial_0 - \vec{\gamma} \cdot \mathbf{p} - m]_x S_F(x, y) = \delta(x - y) \\ &\Leftrightarrow [i\partial_t - \{\vec{\alpha} \cdot \mathbf{p} + \beta m\}]_x S_F(x, y) = \gamma^0 \delta(x - y). \end{aligned} \quad (170)$$

Using this S_F , the fermion (nucleon) field can be formally solved as

$$\psi(x) = \int dy S_F(x, y) g_\sigma \sigma(y) \psi(y). \quad (171)$$

We can also follow the time-development of the fermion propagator. That is

$$\begin{aligned} G(x, y) &= \langle A | \hat{T} \psi(x) \bar{\psi}(y) | A \rangle \\ &= S_F(x, y) + \langle A | (-) \bar{\psi}(y) \psi(x) | A \rangle. \end{aligned} \quad (172)$$

This $G(x, y)$ can be also interpreted as the *density tensor*, $\rho_{xy} = G(x, y)$, in the usual meanfield framework. For this propagator, one finds

$$\begin{aligned} [i\partial_t - \{\vec{\alpha} \cdot \mathbf{p} + \beta m\}]_x G(x, y) &= \gamma^0 \delta(x - y) - \langle A | \bar{\psi}(y) [i\partial_t - \{\vec{\alpha} \cdot \mathbf{p} + \beta m\}]_x \psi(x) | A \rangle \\ &= \gamma^0 \delta(x - y) - \langle A | \bar{\psi}(y) g_\sigma \gamma^0 \sigma(x) \psi(x) | A \rangle \\ [i\gamma^\nu \partial_\nu - m]_x G(x, y) &= \delta(x - y) - g_\sigma \langle A | \bar{\psi}(y) \sigma(x) \psi(x) | A \rangle. \end{aligned} \quad (173)$$

From Eq. (163), $\sigma(x)$ can be eliminated:

$$[i\gamma^\nu \partial_\nu - m]_x G(x, y) = \delta(x - y) + g_\sigma^2 \left\langle A | \bar{\psi}(y) \int dw D_\sigma(x - w) \bar{\psi}(w) \psi(w) \psi(x) | A \right\rangle. \quad (174)$$

Notice that the quadratic term of $\bar{\psi}\bar{\psi}\psi\psi$ appears in the RHS.

References

- [1] M. E. Peskin and D. V. Schroeder, “*An Introduction to Quantum Field Theory*.”
- [2] H. Kucharek and P. Ring, *Zeitschrift für Physik A*, 339. 23-35 (1991).

²See Eq. (4.107) in Ref. [1].