

# A Maxwell's demon that can work at macroscopic scales

Nahuel Freitas, Massimiliano Esposito

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YITP-YSF, August 2023



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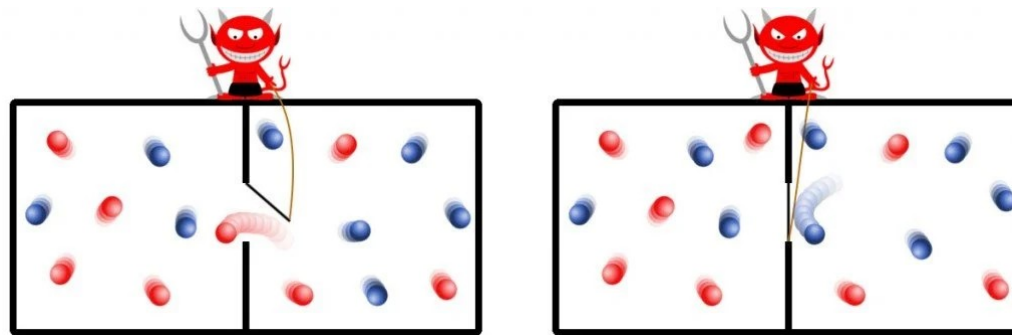


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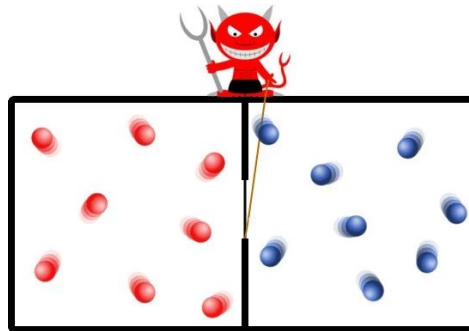
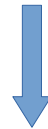
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# The basic idea of a Maxwell's demon



Thermal Equilibrium + Measurement and feedback control



Thermal gradient

Work extraction

## Several implementations since 2007....

### **A molecular information ratchet**

Viviana Serreli<sup>1</sup>, Chin-Fa Lee<sup>1</sup>, Euan R. Kay<sup>1</sup> & David A. Leigh<sup>1</sup>





Single-photon cooling at the limit of trap dynamics:  
Maxwell's demon near maximum efficiency

S Travis Bannerman<sup>1</sup>, Gabriel N Price<sup>1</sup>, Kirsten Viering<sup>1</sup> and Mark G Raizen

Experimental Observation of the Role of Mutual Information in the  
Nonequilibrium Dynamics of a Maxwell Demon

J. V. Koski, V. F. Maisi, T. Sagawa, and J. P. Pekola  
Phys. Rev. Lett. **113**, 030601 – Published 14 July 2014

### **Observing a quantum Maxwell demon at work**

[Nathanaël Cottet](#), [Sébastien Jezouin](#), [Landry Bretheau](#), [Phillippe Campagne-Ibarcq](#), [Quentin Ficheux](#), [Janet Anders](#), [Alexia Auffèves](#), [Rémi Azouit](#), [Pierre Rouchon](#), and [Benjamin Huard](#)     [Authors Info & Affiliations](#)

### **Experimental demonstration of information-to-energy conversion and validation of the generalized Jarzynski equality**

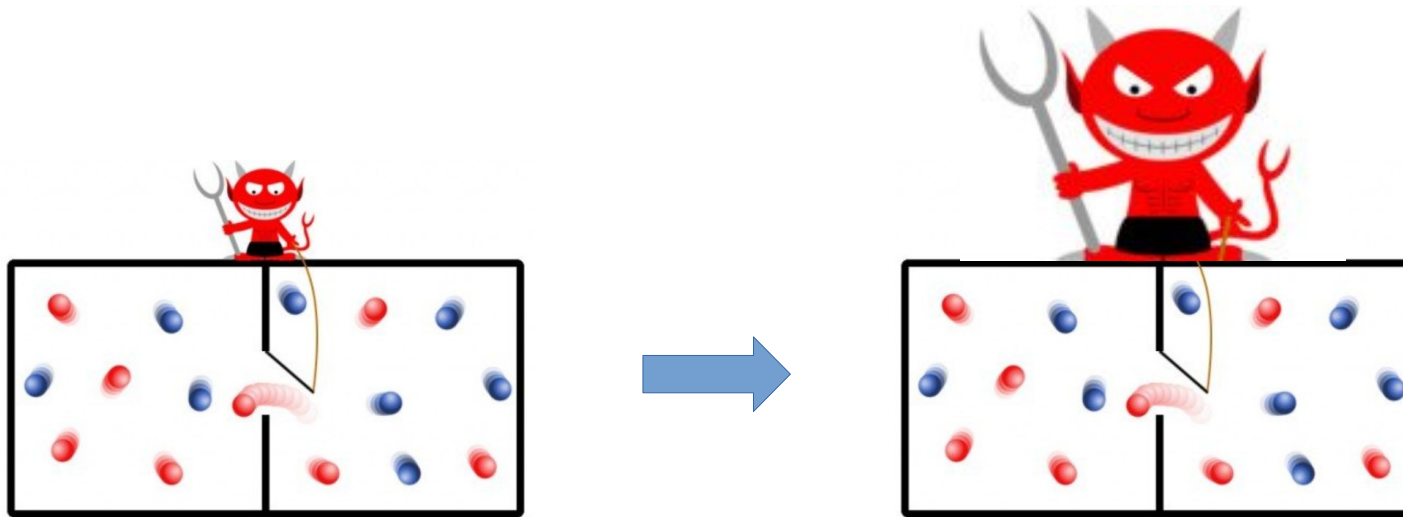
[Shoichi Toyabe](#), [Takahiro Sagawa](#), [Masahito Ueda](#), [Eiro Muneyuki](#)  & [Masaki Sano](#) 

All these implementations work in microscopic regimes: single molecules, single atoms, single electrons, single photons ...

“... a demon, according to the use of this word by Maxwell, is an intelligent being endowed with free-will and ***fine enough tactile and perception*** to give him the faculty of ***observing and influencing individual molecules*** of matter”

William Thomson, 1879

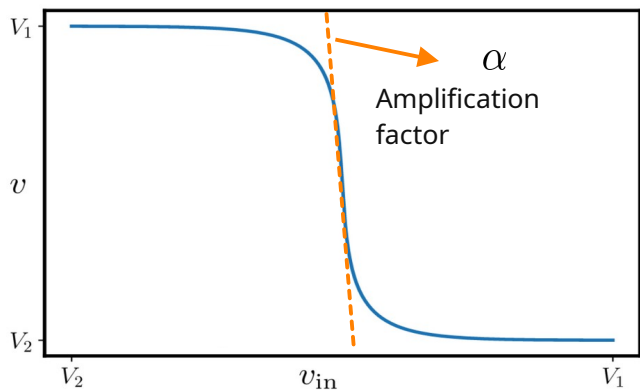
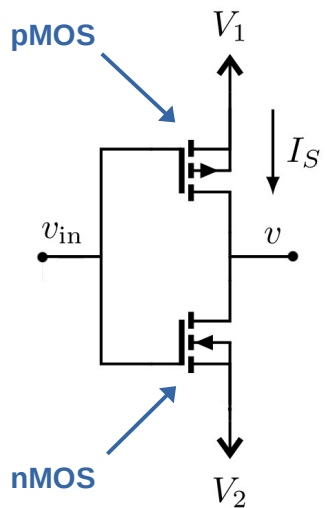
## What happens if we scale up a Maxwell's demon?



- If a Maxwell's demon can only be microscopic, at which scale does it stop working?
- Can that be prevented in some way?

**We will explore these questions in a model of an electronic Maxwell's demon with a natural macroscopic limit**

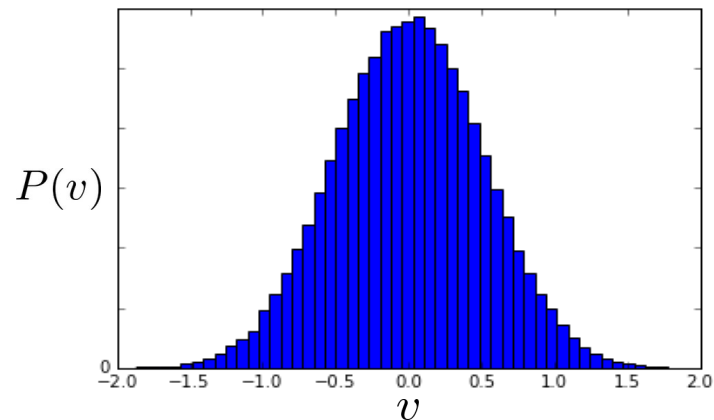
# The CMOS inverter



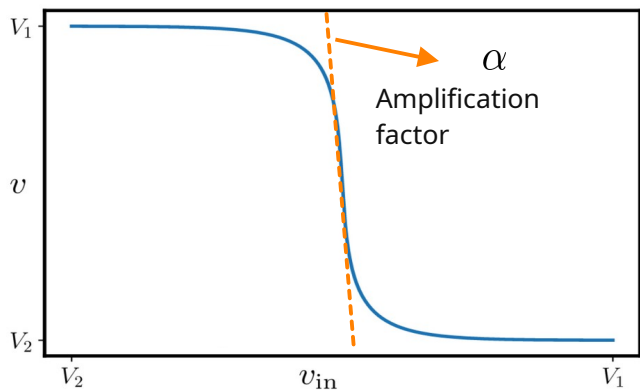
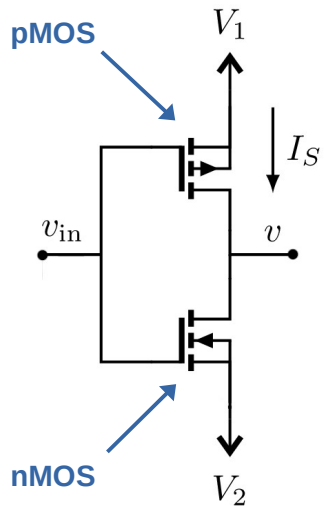
Can we revert the current?

We consider first the equilibrium case  $V_1 = V_2 = 0$

$$P_{eq}(v) \propto e^{-E(v)/k_b T} \quad E(v) = \frac{v^2}{2C}$$



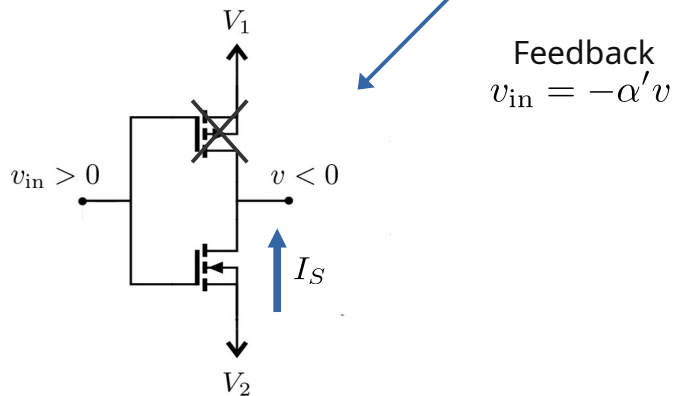
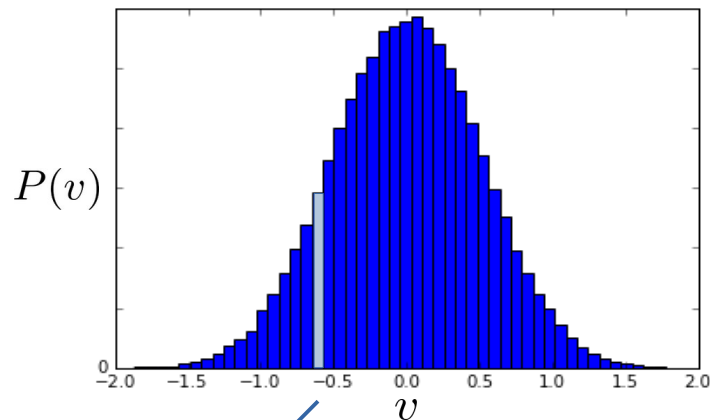
# The CMOS inverter



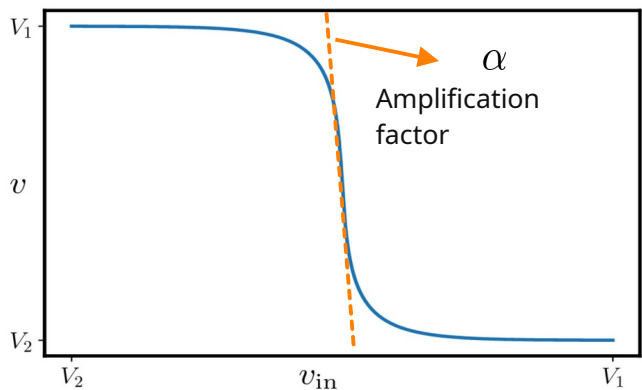
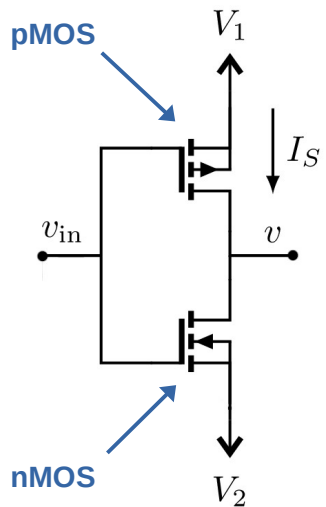
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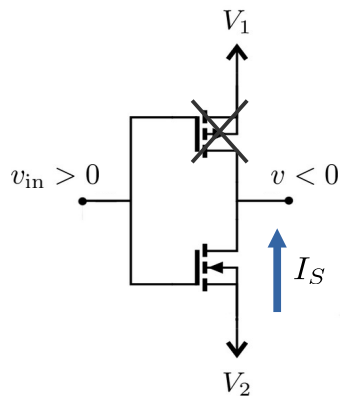
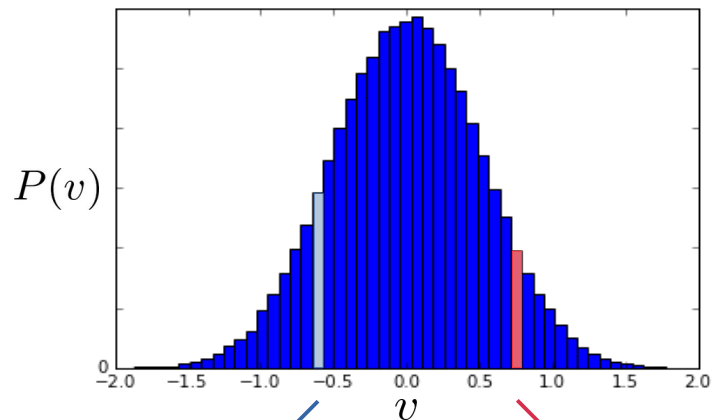
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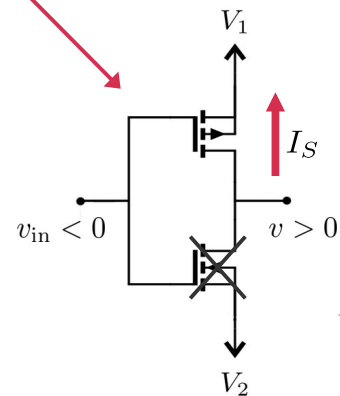
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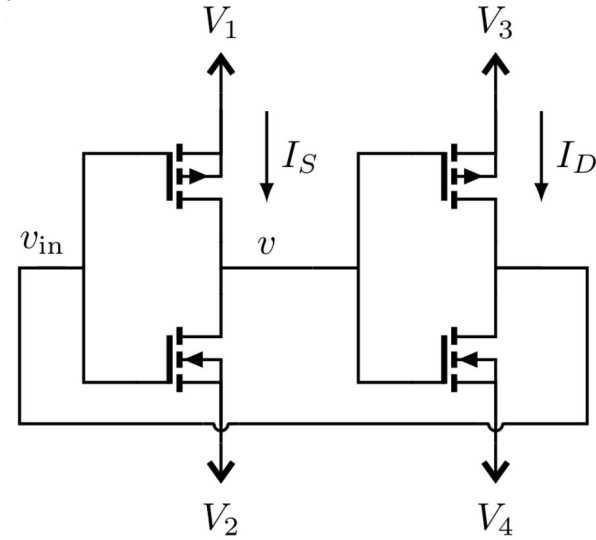
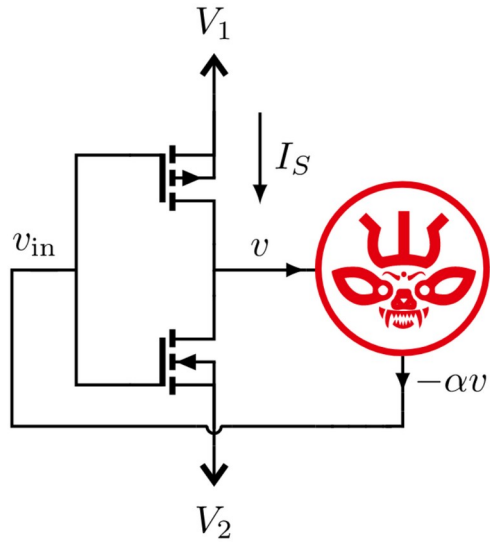
$$P_{eq}(v) \propto e^{-E(v)/k_b T} \quad E(v) = \frac{v^2}{2C}$$



Feedback  
 $v_{in} = -\alpha' v$



# An autonomous demon



## Entropy production rates (steady state)

System side:  $\dot{\Sigma}_S = I_S(V_1 - V_2) = I_S \Delta V_S$

Demon side:  $\dot{\Sigma}_D = I_S(V_3 - V_4) = I_D \Delta V_D$

Total:  $\dot{\Sigma} = \dot{\Sigma}_S + \dot{\Sigma}_D \geq 0$

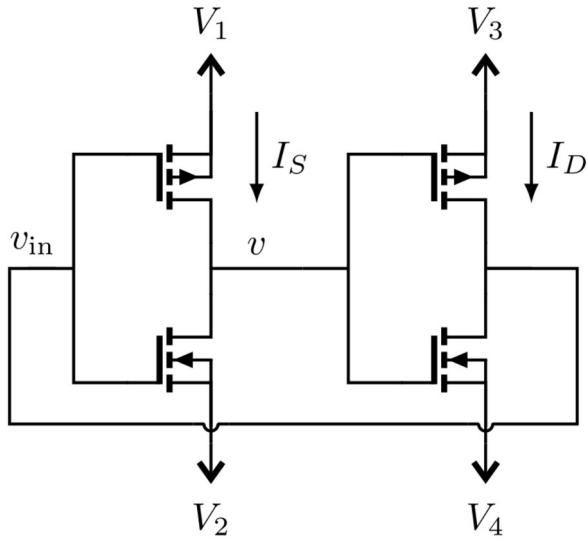
## Thermodynamic efficiency

If the demon can achieve rectification of the current through the system ( $I_S < 0$ )

$$\eta = -\frac{\dot{\Sigma}_S}{\dot{\Sigma}_D}$$



# An autonomous demon



## Deterministic treatment:

$$C d_t v = I_p(v, v_{in}; \Delta V_S) - I_n(v, v_{in}; \Delta V_S)$$

$$C d_t v_{in} = I_p(v_{in}, v; \Delta V_D) - I_n(v_{in}, v; \Delta V_D)$$

$$I_p(v, v_{in}; \Delta V) = I_0 e^{(\Delta V/2 - v_{in} - V_{th})/n} (1 - e^{-(\Delta V/2 - v)})$$

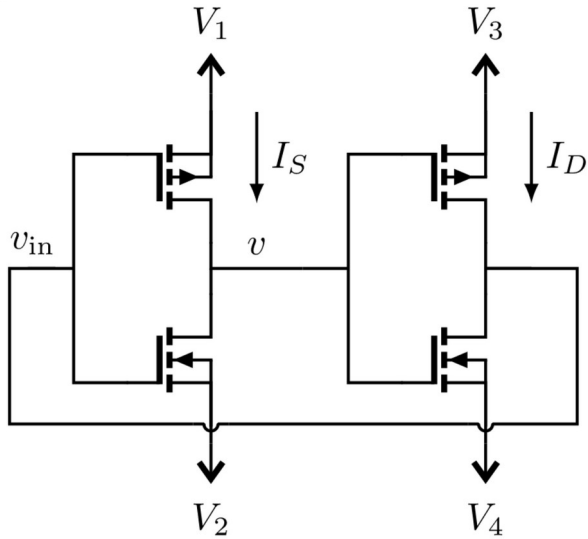
## At steady state:

$$\begin{array}{l} V_2 < v < v_1 \\ V_4 < v_{in} < v_3 \end{array} \quad \longrightarrow \quad \begin{array}{l} I_S > 0 \\ I_D > 0 \end{array}$$

**No rectification is possible at the deterministic level**

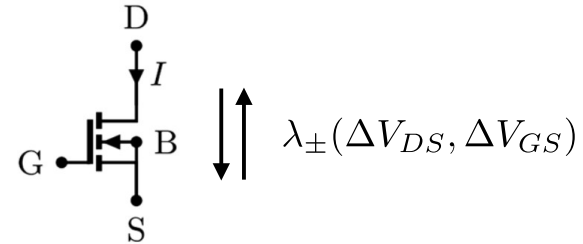
Also, the system becomes bistable for  $\alpha^2 = \alpha_S \alpha_D > 1$  with  $\alpha_{S/D} = e^{V_{S/D}/2} - 1$

# An autonomous demon



## Stochastic treatment:

Conduction through each transistor is modeled as a bidirectional jump process



The jump rates can be fully determined from the *I-V curve characterization* and the *local detailed balance conditions* (see [Freitas et al. Phys. Rev. X 11, 031064](#))

## Master equation:

$$d_t P_t(\mathbf{v}) = \sum_{\rho} \lambda_{\rho}(\mathbf{v} - \Delta_{\rho} v_e) P_t(\mathbf{v} - \Delta_{\rho} v_e) - \lambda_{\rho}(\mathbf{v}) P_t(\mathbf{v})$$

$\mathbf{v} = (v, v_{in})$   
Circuit state

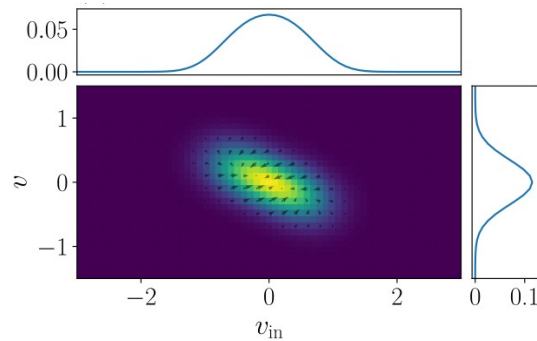
$v_e = \frac{q_e}{C}$

$$d_t P_t(\mathbf{v}) = \sum_{\rho} \lambda_{\rho}(\mathbf{v} - \Delta_{\rho} v_e) P_t(\mathbf{v} - \Delta_{\rho} v_e) - \lambda_{\rho}(\mathbf{v}) P_t(\mathbf{v})$$

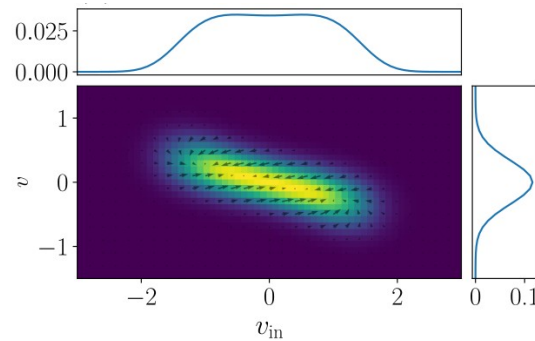
Steady states

for:

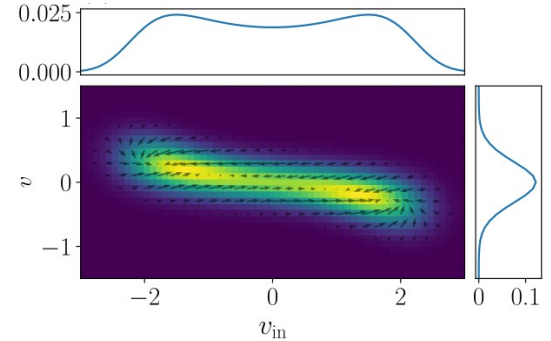
$$\Delta V_S = 0.4$$



$$\Delta V_D = 2 \rightarrow \alpha^2 < 1$$



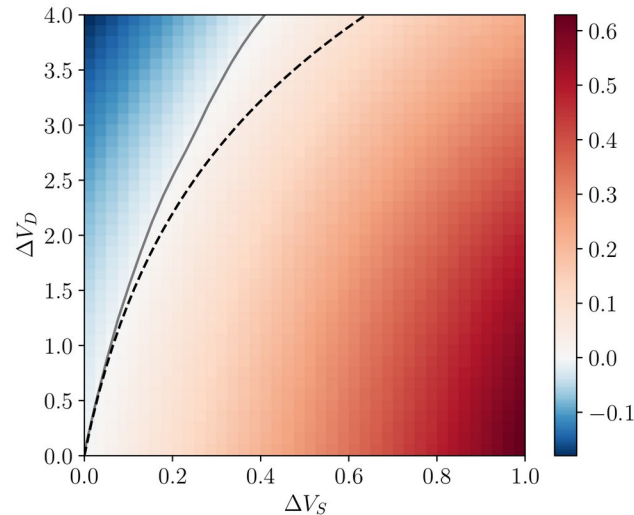
$$\Delta V_D = 3.4 \rightarrow \alpha^2 = 1$$



$$\Delta V_D = 5 \rightarrow \alpha^2 > 1$$

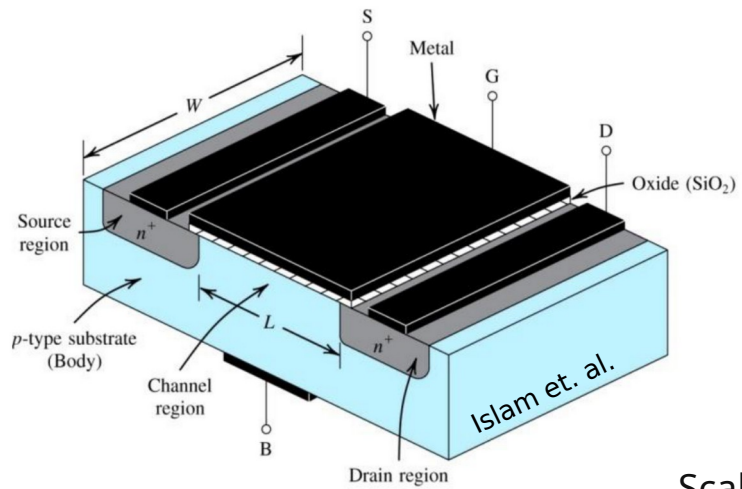
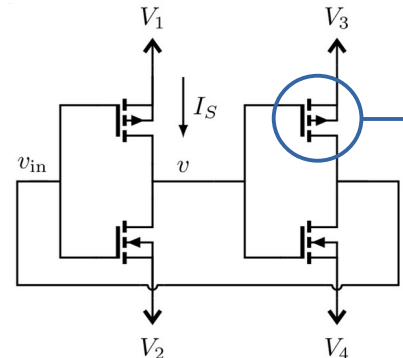
Average current through the first inverter:

$$\langle I_S \rangle = q_e \sum_{\mathbf{v}} P_{ss}(\mathbf{v}) \left( \lambda_+^{p/n}(\mathbf{v}) - \lambda_-^{p/n}(\mathbf{v}) \right)$$



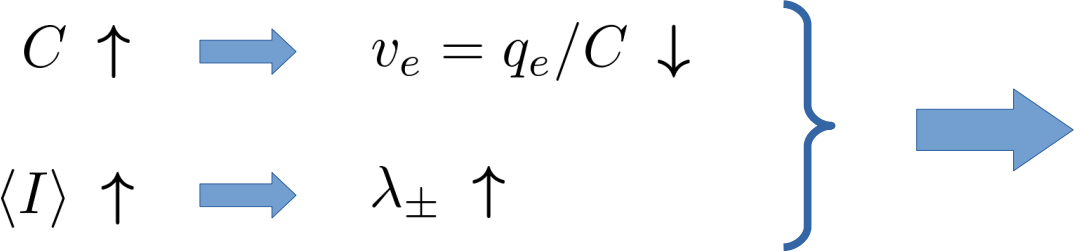
**Rectification is possible if  $\Delta V_D$  is high enough**

# The macroscopic limit



What happens if we increase the physical dimensions of the transistors?

Keeping L fixed and increasing W, we have:



Scale parameter

$$\Omega = v_e^{-1} \propto W$$

Rate function

$$P_t(\mathbf{v}) \asymp e^{-\Omega f(\mathbf{v}, t)}$$

**A large deviations principle is satisfied**

*Freitas, Delvenne, Esposito PRX 2021*  
*Freitas, Proesmans, Esposito PRE 2022*  
*Gopal, Esposito, Freitas PRB 2022*  
*Freitas, Esposito Nat. Comm. 2022*

# The macroscopic limit

$$P_t(\mathbf{v}) \asymp e^{-\Omega f(\mathbf{v}, t)}$$

$$d_t P_t(\mathbf{v}) = \sum_{\rho} \lambda_{\rho}(\mathbf{v} - \Delta_{\rho} v_e) P_t(\mathbf{v} - \Delta_{\rho} v_e) - \lambda_{\rho}(\mathbf{v}) P_t(\mathbf{v})$$

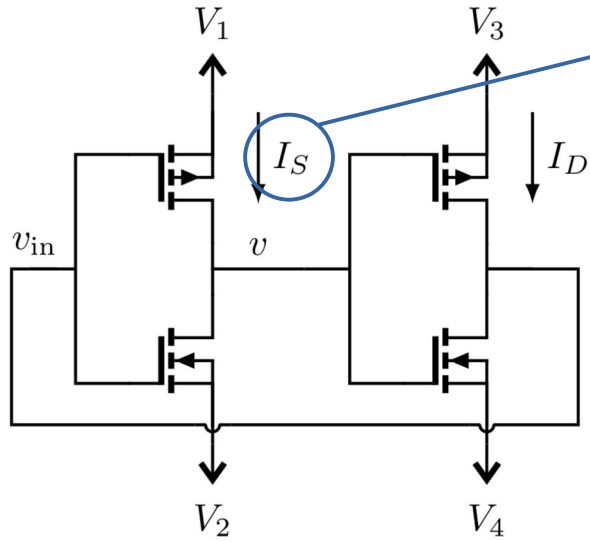


$$d_t f(\mathbf{v}, t) = \sum_{\rho} \omega_{\rho}(\mathbf{v}) \left[ 1 - e^{\Delta_{\rho} \cdot \nabla f(\mathbf{v}, t)} \right]$$

- The minimum of  $f(\mathbf{v}, t)$  follows the deterministic dynamics
- A quadratic expansion around it gives us a Gaussian approximation, increasingly valid for larger  $\Omega$
- In the monostable phase, we obtain:

$$\langle I_S \rangle \simeq \frac{q_e}{2\tau_0} \left[ \Delta V_S - v_e \left( e^{\Delta V_D/2} - 1 \right) \right] + \mathcal{O}(\Delta V_S^2)$$

# Scaling analysis



$$\langle I_S \rangle \simeq \frac{q_e}{2\tau_0} \left[ \Delta V_S - v_e \underbrace{\left( e^{\Delta V_D/2} - 1 \right)}_{\alpha_D} \right] + \mathcal{O}(\Delta V_S^2)$$

Rectification ( $\langle I_S \rangle < 0$ ) is possible only if:

$$\Omega = v_e^{-1} < \frac{\alpha_D}{\Delta V_S} \equiv \Omega_{\max} \longrightarrow \text{Maximum scale}$$

Or equivalently if:

$$\Delta V_D^* = 2 \log(1 + \Delta V_S/v_e) \propto 2 \log(\Omega) \longrightarrow \text{Minimum powering voltage}$$

For fixed  $\alpha^2$ :

$$\langle I_S \rangle \simeq \frac{q_e}{2\tau_0} \left[ \Delta V_S - v_e \frac{\alpha^2}{\left( e^{\Delta V_S/2} - 1 \right)} \right] + \mathcal{O}(\Delta V_S^2)$$

We choose  $\Delta V_S = cv_e$

and  $\Delta V_D = 2 \log(1 + 2\alpha^2/cv_e)$

$$T\dot{\Sigma}_S = \Delta V_S \langle I_S \rangle \sim -\alpha^2 \quad \text{for } v_e \rightarrow 0$$

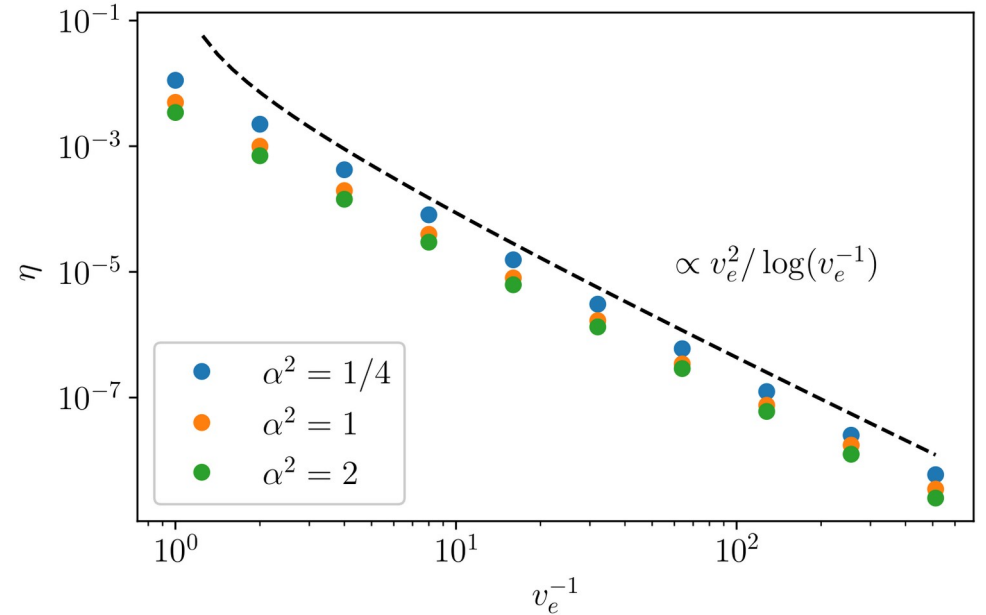
and

$$T\dot{\Sigma}_D = \Delta V_D \langle I_D \rangle \sim \log(v_e^{-1})/v_e^2 \quad \text{for } v_e \rightarrow 0$$

## Scaling analysis

Then, the thermodynamic efficiency scales as

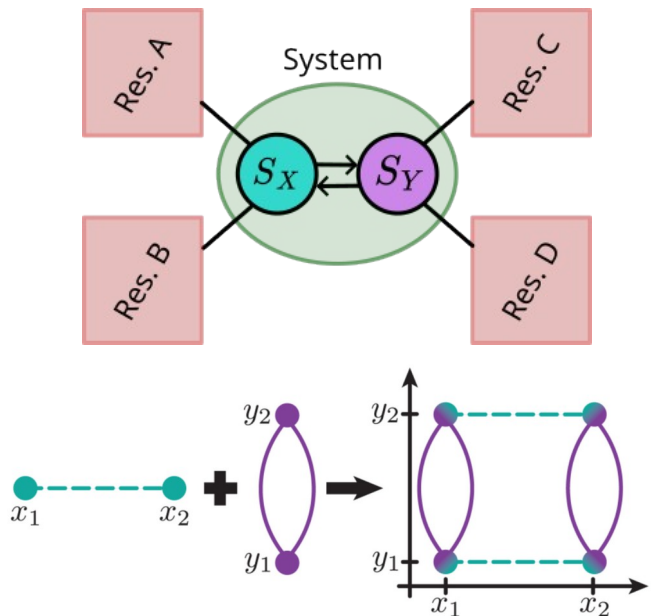
$$\eta \sim v_e^2 / \log(v_e^{-1}) = 1 / (\log(\Omega) \Omega^2)$$



- For fixed powering, the demon stops working above a maximum scale
- If the powering is scaled appropriately, the demon continues to work but with decreasing efficiency

# Scaling Laws for Information Flows

A bipartite system: *Horowitz and Esposito, PRX, 2014*



The usual 2<sup>nd</sup> Law applies to the full system:

$$d_t S - \dot{\Sigma} \geq 0$$

But not to each subsystem:

$$d_t S_X - \dot{\Sigma}_X \not\geq 0$$

Editors' Suggestion

Information flows in macroscopic Maxwell's demons

Nahuel Freitas and Massimiliano Esposito

Phys. Rev. E **107**, 014136 – Published 26 January 2023

However, the local 2<sup>nd</sup> Laws can be generalized to:

$$d_t S_X - \dot{\Sigma}_X - k_b \dot{I}_X \geq 0$$

$$d_t I(X; Y) = \dot{I}_X + \dot{I}_Y$$

$$d_t S_Y - \dot{\Sigma}_Y - k_b \dot{I}_Y \geq 0$$

**Information Flows**

We can define detailed efficiencies for system and demon:

$$\eta_S = -\frac{\dot{\Sigma}_X}{\dot{I}} \leq 1$$

$$\eta_D = -\frac{\dot{\Sigma}_Y}{\dot{I}} \leq 1$$

Now, in the scaling limit where  $P_{ss}(x, y) \propto e^{-\Omega f(x, y)}$

$\dot{\Sigma}_X$ ,  $\dot{\Sigma}_Y$  are **extensive**

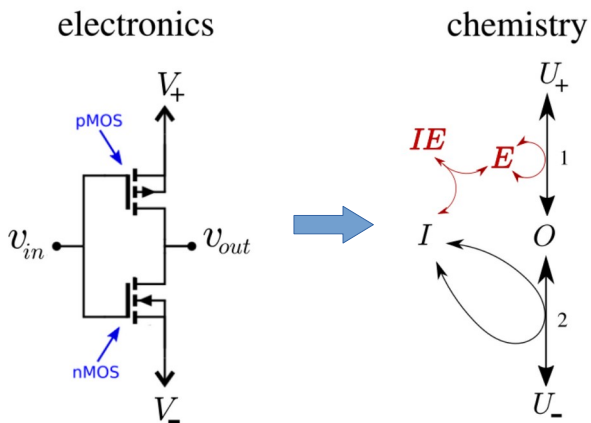
$\dot{I}$  is **intensive**



Everything else fixed,  $\Sigma_X$  must become positive above some value of  $\Omega$



# A chemical Maxwell's Demon



arXiv > cond-mat > arXiv:2307.14994

Condensed Matter > Statistical Mechanics

[Submitted on 27 Jul 2023]

**A chemical reaction network implementation of a Maxwell demon**

Massimo Bilancioni, Massimiliano Esposito, Nahuel Freitas

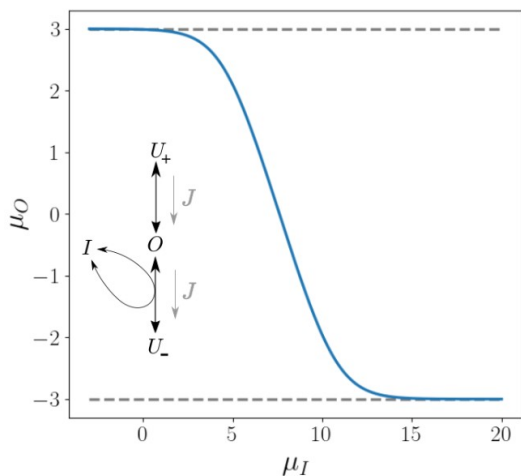


- The same design can be carried on to chemical reaction networks
- However, the response of the chemical inverter is bounded:

$$\alpha = \left| \frac{d\mu_O}{d\mu_I} \right| \leq n \tanh(\Delta\mu/4) \leq n$$

*Owen, Gingrich, Horowitz PRX 2020*

- Thus, the chemical demon does not survive the macroscopic limit



# Final comments

- Previous proposals of macroscopic Maxwell's demons

## **The Szilard engine revisited: Entropy, macroscopic randomness, and symmetry breaking phase transitions**

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Cite as: Chaos 11, 725 (2001); <https://doi.org/10.1063/1.1388006>  
Submitted: 02 January 2001 • Accepted: 29 May 2001 • Published Online: 31 August 2001

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Juan M. R. Parrondo

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- Uses symmetry breaking in phase transitions to transfer information from micro to macro scales
- Non-autonomous
- Work extraction limited by  $kT \log(2)$  per cycle

- Breaking the link between the macro limit and deterministic dynamics

- If thermodynamic resources are invested to amplify thermal fluctuations, they can be transferred to macroscopic scales.
- For this to happen, those resources must be scale-dependent.
- **Fluctuations can survive the macroscopic limit in non-equilibrium settings**